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Application of developmental principles for spinal cord repair after injury

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Abbreviations: Ascl1, Achaete-Scute Family BHLH Transcription Factor 1; cAMP, Cyclic adenosine monophosphate; CNS, central nervous system; CSPG, chondroitin sulfate proteoglycan; Cstb, cystatin B; Ctnnb1, Catenin Beta 1; ECM, extracellular matrix; Erk, Extracellular signal-regulated kinases; ESC, embryonic stem cell; FGF, fibroblast growth factor; GPR17, G-protein coupled receptor 17; Igf1, Insulin-like growth factor 1; iPSC, induced pluripotent stem cell; Kcna4, Potassium Voltage-Gated Channel Subfamily A Member 4; Kcna5, Potassium Voltage-Gated Channel Subfamily A Member 5; Klf3, Kruppel Like Factor 3; Klf7, Kruppel Like Factor 7; Mtor, Mechanistic Target Of Rapamycin; NMP, neuromesodermal progenitor; NPC, neural stem/precursor cell; OPC, oligodendrocyte precursor cell; PKA, protein kinase A; pMN, motor neuron progenitor domain; PNS, peripheral nervous system; PSA-NCAM, polysialylated form of the neural cell adhesion molecule; PSC, pluripotent stem cell; Satb1, special AT-rich sequence-binding protein-1; SCI, spinal cord injury; Sox2, SRY (sex determining region Y)-box 2; Stfa1, stefin A1; Tgfa, Transforming Growth Factor Alpha; Wnt, Wingless-Type MMTV Integration Site Family, Member

Abstract

The superiority of the mammalian central nervous system (CNS) among other vertebrates does not involve an advanced capacity for regeneration and any insult results to irreversible function loss. Spinal cord injury (SCI) is one example of CNS trauma affecting thousands of individuals, mostly young, each year. Despite enormous progress in our comprehension of the molecular and cellular mechanisms underlying the pathophysiology after SCI, also providing targets for therapeutic interventions, so far, no efficient therapy exists, emphasizing the necessity for further research. A breadth of studies have demonstrated that, after SCI, principles of development come at play either to promote or to prohibit spontaneous regeneration and their accurate manipulation holds promise toward functional recovery. In this overview, some of the most recent and important studies are discussed that offer explicitly novel input from the field of development to the field of CNS repair regarding the modification of the inhibitory environment of the injured spinal cord – majorly referring to the glial scar – the activation of endogenous cell populations such as ependymal stem cells and oligodendrocyte precursor cells, and the developmental transcriptional program that is transiently activated in neurons after injury. Furthermore, current advances in stem cell technology are highlighted in terms of refinement and precise design of the appropriate stem cell population to be transplanted not only for cell replacement but also for modulation of the host environment. As single-dimension applications were not yet clinically successful, combinatorial strategies tackling more than one targets are suggested as more auspicious.

Introduction

Traumatic spinal cord injury (SCI) is a devastating situation that often leads to significant life-long functional disabilities and huge costs in social and financial terms for patients and their families (Backx *et al.*, 2018; Munce *et al.*, 2016). The estimated annual global incidence is 40 to 80 cases per million of population, meaning that approx. three million people live with SCI (global prevalence), with 250,000 to 500,000 new cases reported each year (<https://www.who.int/news-room/fact-sheets/detail/spinal-cord-injury>) (Singh *et al.*, 2014). Of note, since this article will appear in a special issue dedicated to Greece, in the greater Thessaloniki area in northern Greece, the annual crude incidence in 2009 was 33.6 per million which is relatively higher than in other EU countries, and transportation accidents were the leading cause (51%) (Divanoglou and Levi, 2009). The disabilities caused by a SCI depend on the severity of injury and its location on the spinal cord, and may include partial or complete loss of sensory function or motor control of arms, legs, and/or body and affect bowel or bladder control, breathing, heart rate, and blood pressure, making serious incidents life-threatening (Katoch *et al.*, 2019). Thus, SCI may render a person completely dependent on caregivers whereas assistive technology is often required to facilitate mobility, communication, and self-care (Smith *et al.*, 2016). Despite the wealth of relevant preclinical research and clinical trials, to date, the available pipeline includes limited options entailing surgical stabilization and decompression of the spinal cord, and rehabilitative care, whereas the only approved pharmacological approach is the administration of high-dosed methylprednisolone, despite serious concerns (Ahuja *et al.*, 2017; Silva *et al.*, 2014). Therefore, further insight into the molecular mechanisms underlying the pathophysiology after SCI is essential to provide novel therapeutic targets and dictate the design of effective interventions. Toward this direction, preclinical research in Greece in the field of SCI initially originated in contributions from our group (Lavdas *et al.*, 2010; Lavdas *et al.*, 2008; Lavdas *et al.*, 2011; Papastefanaki *et al.*, 2007; Papastefanaki *et al.*, 2015; Papastefanaki and Matsas, 2015) and was more recently expanded by the collaboration of four research groups in Crete (Kourgiantaki *et al.*, 2020).

SCI triggers a cascade of detrimental molecular and cellular events prohibiting recovery in a complex sequel of neuropathology. Upon primary damage that involves the disruption of meninges, hemorrhage, and massive death of neurons, oligodendrocytes and astrocytes, the cascade of secondary injury is initiated and

divided into: (1) the acute phase (lasting hours to a couple of days) characterized by edema, hemorrhage, ischemia, inflammatory cell infiltration, release of cytotoxic products, excitotoxicity, apoptotic and necrotic cell death; (2) the subacute phase (days-couple of weeks) with ongoing edema and ischemia, persistent inflammatory cell infiltration causing further cell death, formation of the glial scar and of cysts; (3) the chronic phase (months-years) during which axonal degeneration and demyelination continue, the glial scar consolidates and together with cystic cavities inhibit any endogenous attempt for regeneration and functional restoration (Fig. 1) (Ahuja *et al.*, 2017; Silva *et al.*, 2014). Contributions to the failure of adult central nervous system (CNS) regeneration, also reflecting potential therapeutic targets, can be briefly summarized into: the absence of permissive substrates for axonal growth in the lesion core (Hur *et al.*, 2012; O'Shea *et al.*, 2017), the adult neurons' failure to activate their intrinsic growth state (He and Jin, 2016; Mar *et al.*, 2014; Tedeschi and Bradke, 2017), and the presence of inhibitors to axon growth and myelination, in both adult myelin (Silver *et al.*, 2014) and the extracellular matrix (ECM) that alongside with several resident and infiltrating cell types constitute the glial scar (Fig. 1) (Bradbury and Burnside, 2019).

Interestingly, unlike the adult mammalian CNS, other organs, such as the skin and intestine, and, to a lesser extent, liver and pancreas can regenerate (Iismaa *et al.*, 2018). Furthermore, the lack of regenerative potential of the adult mammalian CNS is a feature not shared with the peripheral nervous system (PNS) in which injured axons have the ability to regenerate, under certain circumstances (Abe and Cavalli, 2008), while it was historically shown that injured CNS axons are able to regrow into peripheral nerve grafts (Benfey and Aguayo, 1982; David and Aguayo, 1981). More recently, it was demonstrated that peripheral nerve conditioning lesions enhance the regeneration of the central branch of sensory axons in the CNS by activation of the injured neurons' intrinsic growth state (Kumamaru *et al.*, 2018b). Indeed, systems-level analysis of the intrinsic transcriptional program of regrowing peripheral neurons revealed that transcription factors and known signaling pathways are activated, while this is not the case in CNS injuries (Chandran *et al.*, 2016). Moreover, lower vertebrates such as teleost fish and urodele amphibians present with an impressive ability to regenerate their spinal cords throughout life whereas anuran (tailless) amphibians are capable of spinal cord regeneration only until metamorphosis (Lee-Liu *et al.*, 2013). On top of that, the immature mammalian CNS is capable of limited

regeneration (Avci *et al.*, 2012); mice subjected to experimental SCI at postnatal day 2 (P2) are able to regenerate both serotonergic axons and axons of the corticospinal tract (CST) in contrast to mice that undergo SCI at P7, P20, or in the adult (Li *et al.*, 2020). Altogether, the aforementioned observations have long brought up the questions of whether developmental mechanisms halted or latent in the adult could support CNS regeneration, if properly activated, and/or whether potentially reactivated growth programs fail to promote regeneration in the adult mammalian CNS due to restricting cues of the wounded CNS environment. In fact, several studies have shown that a variety of developmental mechanisms reemerge in the injured CNS tissue either to inadequately promote or to prohibit axonal regeneration and functional restoration. Such mechanisms and experimental approaches to bias them in favor of regeneration after SCI have been extensively discussed in other reviews (Cardozo *et al.*, 2017; Garcia *et al.*, 2018; Hilton and Bradke, 2017) that are strongly recommended.

In all, the complex combination of several unfortunate conditions results to the detrimental lack of CNS regeneration, that from an evolutionary perspective has been preferred (or established) in adult mammals possibly because surviving in the wild after a SCI is scarce for both predators and preys, leaving insufficient time for natural selection to act otherwise, and/or because aberrant regeneration may lead to the establishment of malfunctioning circuits with serious, sometimes fatal, adverse effects such as autonomic dysreflexia, muscle spasticity, and neuropathic pain, at best minimizing the fitness of the subject (O'Shea *et al.*, 2017); this could be the price that mammals must pay for the superior complexity of their CNS. Yet, recent research advancement has revealed important therapeutic targets, among which developmental cues, that after manipulation could drive regeneration and in combination with modern medicine and technological innovation could support functional recovery. Here, we narrow down our focus and cherry-pick some of the most recent studies that gained attention for revealing previously unknown developmental aspects that could be employed to promote CNS regeneration by either modifying the inhibitory environment of the injured tissue, or by activating the hibernating developmental program of endogenous cells, or by providing exogenous cell populations in an active growth state to replace lost cell populations. By utilizing techniques such as bulk and single-cell transcriptomics, optogenetics and pharmacogenetics, trans-synaptic labeling and stem cell technological advances, valuable findings are provided such as

the contribution of microglia to the scar-free wound healing process observed in neonates, but also to the fate of parenchymal oligodendrocyte precursor cells (OPCs) after SCI, the post-injury transcriptional regression of cortical neurons to a developmental state, and the inherent capacity of spinal cord neural stem/precursor cells (NPCs) to give rise to myelinating oligodendrocytes. Further, we discuss cell transplantation approaches using specialized stem cells and the prospect of 3D stem cell assemblies that are (or could be) derived from human induced pluripotent stem cells (iPSCs) to support effective tissue reconstruction and regeneration with clinical relevance.

How to Restrict the Glial Scar Taking Lessons from Neonatal Microglia

The glial scar and neuroinflammation are two major hallmarks after SCI (Fig. 1) (Bradbury and Burnside, 2019). Microglia, the resident cells to provide innate immunity in the CNS are activated upon SCI while their role has been challenging, since at early stages after injury the inflammatory reaction is necessary for the clearance of the damaged tissue and containment of the lesion site but during chronic stages their persistent activation inhibits endogenous attempts for axonal regeneration and remyelination (David and Kroner, 2011; Zhou *et al.*, 2014). Recently, it was shown that, similar to fish and amphibians that form glial bridges to support axonal regeneration across the lesion (Mokalled *et al.*, 2016; Zukor *et al.*, 2011), neonatal mice are capable of scar-free wound healing and spontaneous axon regrowth, and microglia were demonstrated to be the primary coordinator of this reparative reaction, in contrast to what is observed in the adult (Li *et al.*, 2020) in which, at two weeks after SCI, the formation of the typical scar is observed surrounding the lesion core with accumulation of activated macrophages/microglia (Schafer *et al.*, 2012), fibroblasts, reactive astrocytes, and constituents of the ECM, i.e., collagen type I, fibronectin, chondroitin sulfate proteoglycans (CSPGs), and laminin (Fig. 1) (Ahuja *et al.*, 2017; Bradbury and Burnside, 2019; Silver *et al.*, 2014). When P2 neonates were subjected to SCI, at two weeks after injury the accumulation of activated macrophages/microglia and deposition of ECM molecules was minimal with a modest accumulation of reactive astrocytes within the lesion whereas vascularization and axonal regrowth were recorded. Indeed, depletion of microglia in neonatal mice

disrupted the healing process and stalled axonal regrowth. Depletion of microglia in the adult SCI results to impaired locomotor recovery, albeit the time-window of depletion might influence the outcome (Kroner and Rosas Almanza, 2019). In-depth single-cell RNA sequencing analysis revealed that neonatal microglia are transiently activated up to 7 days after injury and play a dual role in the scar-free healing process; on one hand, they transiently secrete fibronectin and its binding proteins to form bridges of ECM that connect the proximal and distal ends of the injured spinal cord enabling axonal regrowth; on the other hand, they express several extracellular and intracellular peptidase inhibitors, such as *Cstb*, *Stfal*, and *Serpina6a*, that are involved in the resolution of inflammation thereby minimizing chronic inhibition (Hammond *et al.*, 2019; Li *et al.*, 2019). These phenotypes are not present in the adult – fibronectin is persistently expressed by adult microglia and proteinase inhibitors are not induced after injury – and when neonatal microglia or adult microglia treated with peptidase inhibitors were transplanted in adult spinal cord lesions, in both cases significantly improved healing and axonal regrowth were observed (Li *et al.*, 2020). These findings unveil important cellular and molecular mechanisms underlying the superior recovery of neonatal SCI as compared with the adult SCI, and further suggest that these mechanisms could be therapeutically employed (alone or in combinatorial approaches, see below) to modify the hostile CNS environment in the adult and promote the healing process.

Resident Spinal Cord Neural Stem Cells and Remyelination

Besides modification of unfavorable characteristics that the CNS tissue presents after injury, myelin integrity, essential for the efficient and fast impulse conduction along neuronal axons, and its preservation and/or regeneration after SCI, together with axonal regeneration are of key importance for functional restoration. Loss of oligodendrocytes and myelin degradation are among direct consequences of secondary damage and while mature oligodendrocytes do not participate in the process of remyelination, there is some endogenous but insufficient capacity for oligodendrogenesis and remyelination by the resident stem cells and OPCs (Fig. 1) that can be enhanced by axonal activity or manipulation of the immune response and the glial scar. Numerous therapeutic strategies have been presented toward restoration

of myelin after SCI, and some have even progressed to clinical trials. Yet, up until now, the outcome is inferior than expected indicating that preclinical studies should continue focusing in seeking for additional therapeutic targets (Papastefanaki and Matsas, 2015).

NPCs reside in the adult brain and spinal cord and have been considered as presumptive suppliers of replacement cells for the lost neurons, oligodendrocytes, and astrocytes after injury. However, their potential for cell generation after injury is almost exclusively toward astrocytes that build the glial scar, therefore they cannot support the replacement of neurons and oligodendrocytes that would restore the myelin profile (Barker *et al.*, 2018; Benner *et al.*, 2013; Faiz *et al.*, 2015; Frisen, 2016). Particularly in the spinal cord, the NPC reservoir is a small population of ependymal cells lining the wall of the central canal (Fig. 1) (Barnabe-Heider *et al.*, 2010; Meletis *et al.*, 2008). In the seminal recent study by the group of Jonas Frisen, it was demonstrated that ependymal cells are in a permissive chromatin state that enables the induction of a latent gene expression program for oligodendrogenesis after SCI and that ectopic expression of the transcription factor Olig2 may promote abundant stem cell-derived oligodendrogenesis followed by oligodendrocyte differentiation, axon remyelination, and recovery of axonal conduction after SCI (Llorens-Bobadilla *et al.*, 2020). Indeed, ependymal-derived oligodendrogenesis molecularly recapitulated developmental oligodendrogenesis (Llorens-Bobadilla *et al.*, 2020) during which oligodendrocyte precursors undergo a step-wise differentiation process (Marques *et al.*, 2016), sequentially turning on and off the respective gene expression program. This evidence holds promise that endogenous stem cell response could be manipulated to provide the necessary cell identities for functional recovery, such as oligodendrocytes in this case.

Directed ependymal cell-derived oligodendrogenesis could be synergistically combined with the regulated response of parenchymal OPCs. In another model of CNS injury, optic nerve injury, OPCs proliferate in response to injury and a small fraction differentiates into CC1+ immature oligodendrocytes. However, these cells never matured into myelinating oligodendrocytes even though regenerated and/or unmyelinated axons were present (Wang *et al.*, 2020a). Pharmacological inhibition with Montelukast, a small-molecule antagonist of G-protein coupled receptor 17 (GPR17, a critical regulator of oligodendrocyte development), induced OPC differentiation (Wang *et al.*, 2020a). Overexpression of GPR17 inhibits

oligodendrocyte differentiation and myelination (Chen *et al.*, 2009) and after optic nerve injury it is potently upregulated, likely prohibiting OPC differentiation. Despite the positive effect of Montelukast treatment in OPC differentiation, the numbers of myelinating oligodendrocytes were still inadequate. In the same study (Wang *et al.*, 2020a), the role of neuroinflammation in the regulation of remyelination was interrogated and by pharmacologically depleting microglia with PLX3397 [a colony stimulating factor 1 receptor kinase inhibitor that effectively depletes microglia (Elmore *et al.*, 2014)] they demonstrated that, on one hand, microglia are critical for the early response of OPCs to injury while, on the other hand, they inhibit the maturation of oligodendrocytes at later stages after optic nerve injury. Combinatorial and timed treatment with Montelukast (one day post-injury) and PLX3397 (2 weeks post-injury) led to robust myelination and increased density of regenerated axons. Together with the above-mentioned role of neonatal microglia in scar-free wound healing, these data indicate that a precise modulation of neuroinflammation could support different aspects of regeneration. Apparently, the time frame for the application of each suggested treatment is of paramount importance and should be carefully defined taking under consideration both the phase of secondary injury to be targeted (acute, subacute, or chronic) and the clinical relevance of such an application, since in real life, opportunities, if any, for therapeutic interventions are open after the patient has stabilized, most likely during the subacute and chronic phases (Ahuja *et al.*, 2017).

CST neurons revert to an immature transcriptional program sustained by NPC grafting

The inherent incapacity of adult CNS neurons to regenerate (He and Jin, 2016; Mar *et al.*, 2014; Tedeschi and Bradke, 2017) is one of the main reasons for CNS repair failure, as already mentioned. The major descending pathway controlling voluntary movement in mammals is the CST that originates in the cerebral cortex and comprises the projections of cortical neurons into the spinal cord (Friedli *et al.*, 2015; Oudega and Perez, 2012). It was recently demonstrated that SCI triggers a response in the CST neurons driving them to an immature (correlating with an embryonic) transcriptional profile that was effectively sustained by transplantation of embryonic

spinal cord NPCs over time, rather than initiating a new growth program (Poplawski *et al.*, 2020). The CST axons were able to penetrate the NPC graft in the lesion site, starting 2 weeks after injury, remarkably extend and arborize to form new synapses onto the grafted neurons (Poplawski *et al.*, 2020). The improved regeneration was characterized basically by two transcriptional profiles in the corresponding cortical neurons; first, a reversion to an immature state, persisting during regeneration; second, temporally shifting patterns of gene expression, in which early after injury anti-apoptotic cellular mechanisms were activated, shifting to the expression of genes that contribute to an active early regenerative state and finally – as axons extend into grafts and form synapses – the expression of axon guidance molecules and synaptic genes (Poplawski *et al.*, 2020). In more detail, CST regeneration was associated with enrichment of signaling pathways of classic axonal growth, guidance, and synaptic plasticity, including members of the Erk family (Hollis *et al.*, 2009), *Mtor* (Liu *et al.*, 2010; Park *et al.*, 2008), and *Igf1*, previously correlated with regeneration (Liu *et al.*, 2017). Genes implicated in cell survival, proliferation, metabolism, and axon guidance, including *Wnt* genes, *Ctnnb1*, and genes involved in cAMP and PKA signaling, as well as growth factors (such as *Igf1* and *Tgfa*) and transcription factors related to neuronal differentiation and axon regeneration (*Sox2*, *Ascl1*, *Klf3*, *Klf7*, *Satb1*, and *Tp53*) were upregulated in the pre-regenerative state and were sustained over the 21-day period of regeneration. Indeed, genes involved in axon guidance (e.g., semaphorins, known as axon repellents) and pathfinding, axonal transport, and encoding for cytoskeletal proteins were persistently upregulated. Of those, semaphorins were downregulated by day 21 in favor of axonal growth. In the early 14-day regenerative state, transcriptional networks related to protein translation, energy production, and mitochondrial function were activated suggesting increased cell metabolism to cover the energy needs of axonal growth. In the late regenerative state, the expression of voltage-gated ion channels, including *Kcna4* and *Kcna5*, was also induced possibly reflecting the establishment of functional synapses (Poplawski *et al.*, 2020). Importantly, in the absence of NPC grafts the signature genes of embryogenesis were also upregulated but started losing expression by 2 weeks after injury showcasing that injured neurons can be appropriately reprogrammed toward an immature developmental state, to regenerate axons and rebuild neural networks only when found in an appropriate environment (i.e., the NPC graft).

Stem Cell Transplantation for the Treatment of SCI

Apparently, the endogenous stem cells are insufficient to support cell replacement and regeneration, unless exogenously manipulated. Alternatively, cell transplantation is among the most promising strategies to promote repair and several early phase clinical trials have provided evidence that it is generally feasible (Saber *et al.*, 2011). Although the clinical outcomes have been poor, it is accepted that SCI treatment could benefit from the transplanted cells *per se* and/or from their secretome. Candidate cell types, including NPCs, OPCs, mesenchymal stem cells, Schwann cells, and olfactory ensheathing cells, alone or combined with other strategies, such as genetic modification to provide growth-promoting factors, application of biomaterials to support the graft and scaffold axonal regrowth, or factors to modulate the inhibitory environment (e.g., chondroitinase ABC, an enzyme that degrades CSPGs, constituents of the glial scar with inhibitory-to-regeneration properties), may exert neuroprotective and/or neuroregenerative roles (Assinck *et al.*, 2017). In two of our relevant studies, we transplanted neonatal Schwann cells, the myelinating cells of the PNS, genetically modified for ectopic expression of the polysialylated form of the neural cell adhesion molecule (PSA-NCAM) (Papastefanaki *et al.*, 2007) or overexpression of the L1 cell adhesion molecule (Lavdas *et al.*, 2010) on their membranes, in a mouse model of adult SCI. Both PSA-NCAM and L1 are cell adhesion molecules that participate in neurodevelopmental processes promoting axonal growth and myelination (Lavdas *et al.*, 2011). Our strategy accelerated myelination by recruitment of resident OPCs and by the transplanted Schwann cells and favored sprouting of regenerating serotonergic nerve fibers, essential for locomotor recovery that was also recorded (Lavdas *et al.*, 2010; Papastefanaki *et al.*, 2007). Importantly, unlike drugs or implanted biomaterials, transplanted cells are capable of responding to cues of the injured microenvironment and regulate their reciprocal interactions and fate (Ottoboni *et al.*, 2020). Notwithstanding, accessibility and translational relevance of the sources for the proposed cell grafts reflect significant challenges in application. In this light, the breakthrough of induced pluripotent stem cell (iPSC) technology giving the opportunity to generate pluripotent stem cells directly from somatic cells, such as skin or blood cells, and then differentiate them, in principle, to any desired cell type, offers

unprecedented opportunities for regenerative medicine and autologous grafting (Soldner and Jaenisch, 2018).

Neural stem/precursor cells

Functional improvement to occur after SCI could benefit from the replacement of lost cells, i.e., neurons, able to rebuilt the essential local circuits, facilitate axonal regrowth and pathfinding toward the denervated targets, and establish new synapses; oligodendrocytes, capable of myelination of regenerated axons or remyelination of the spared demyelinated ones; and, replacement of lost astrocytes, probably cautious since the fate of endogenous NPCs after injury is skewed toward the generation of new and reactive astrocytes that are major players of the regeneration processes, in both favorable and unfavorable ways (Ahuja *et al.*, 2017; Assinck *et al.*, 2017). From this standpoint, research advancement, over the last decade, in the field of stem cells has brought up NPCs as of significant potential for transplantation approaches for the treatment of SCI. Mentioned already, transplantation of NPCs favors regeneration by maintaining the growth transcriptional program of endogenous cortical neurons activated (Poplawski *et al.*, 2020). Besides, grafted NPCs have the ability to give rise to new neurons that generate synaptic relays between injured and target neurons of the host to reestablish neuronal communication. This relay formation could possibly be further refined by rehabilitative training and/or by electrical stimulation to rewire the interrupted circuitry and restore lost motor function (Lu *et al.*, 2014). In addition, NPCs after transplantation give rise to glial cells that support the graft-derived neurons and also provide additional therapeutic cues, such as remyelination, neuroprotection, and modulation of the glial scar (Fischer *et al.*, 2020). NPCs can be isolated from embryonic (with significant translational limitations including availability, histocompatibility, and ethical considerations) or adult (with translational challenges regarding the source accessibility) tissues, but they can also be produced by directed differentiation of iPSCs or from direct reprogramming of non-neural cells, overcoming issues relevant to ethics, histocompatibility, and availability, yet bearing limitations with regard to long preparation protocols, residual epigenetic memory, and safety against tumorigenesis (Vismara *et al.*, 2017).

Increasing evidence suggests that the regional compatibility of grafted NPCs is important for their successful integration into the injured neural tissue and that

specific and functionally-restricted connections between the graft and the host form spontaneously. Important work from the group of Mark Tuszynski demonstrated homologous reconstitution of the lesioned adult spinal cord by grafting primary embryonic spinal cord tissue, with the graft-derived neurons forming synaptic relays across the lesion site (Kadoya *et al.*, 2016; Lu *et al.*, 2012) while also being myelinated by host oligodendrocytes (Hunt *et al.*, 2017), supporting functional improvement. The synaptic integration of spinal cord NPC grafts was verified by the use of a comprehensive monosynaptic rabies virus mapping system that retrogradely traced host neurons (originating in the cortex, brainstem, spinal cord, and dorsal root ganglia) forming synapses onto graft-derived neurons (Adler *et al.*, 2017). By contrast, when rostrally-fated (brain) NPCs were transplanted, CST axons did not regenerate (Kadoya *et al.*, 2016) indicating that NPC grafts, to favor their integration in the injured spinal cord, should be derived through vertebrate posterior developmental processes. During spinal cord development, in parallel with the antero-posterior polarization, patterning of the neural tube specifies discrete progenitor domains along the dorsal/ventral axis that give rise to the major neuronal lineages (Lai *et al.*, 2016). Impressively, spinal cord NPCs grafted into a rat model of spinal cord dorsal column injury were able to spontaneously self-assemble into organotypic, dorsal horn-like clusters with laminar organization of distinct dorsal spinal cord subtypes of interneurons, recapitulating normal developmental differentiation and specification programs to partially establish the cytoarchitecture of the intact spinal cord dorsal horns. These graft-derived clusters were extensively innervated by regenerating host sensory axons – that normally send input to the dorsal horns of the intact spinal cord – but were avoided by CST axons, normally innervating motor interneurons (Dulin *et al.*, 2018). Moreover, host sensory axon regeneration into the grafts was significantly higher in dorsal-restricted spinal cord NPC grafts, as compared with ventral spinal cord graft clusters (Dulin *et al.*, 2018). On the other hand, regenerating adult CST axons, without exogenous guidance, preferentially synapsed onto appropriate motor interneuron-rich domains within spinal cord NPC grafts, recapitulating patterns of the CST projection in the intact spinal cord, while avoiding graft-derived sensory targets (Kumamaru *et al.*, 2019). These observations suggest that functionally relevant restoration of the complex spinal cord circuits after SCI may be achievable and that injured, regenerating adult spinal cord axons retain their developmental ability to distinguish between appropriate and inappropriate

targets when encountering NPC grafts (Adler *et al.*, 2017; Ceto *et al.*, 2020; Dulin *et al.*, 2018; Koffler *et al.*, 2019; Kumamaru *et al.*, 2019). In a follow up study, human embryonic stem cell (ESC)-derived spinal cord NPCs, grafted in the lesioned rat spinal cord, differentiated into a diverse population of spinal cord neurons occupying multiple positions along the dorso-ventral axis, and were maintained for long time periods. Grafts were rich in excitatory neurons, extended large numbers of axons over long distances, innervating target elements, and indeed enabled robust CST regeneration. The grafts synaptically integrated into multiple host intraspinal and supraspinal systems, including the CST, and provided, at some degree, functional restoration after injury (Kumamaru *et al.*, 2018a). Further confirming the importance of anatomical relevance between donor cells and host tissue, human fetal spinal cord neuroepithelial stem cells were transplanted in the lesioned spinal cord of immunodeficient mice and displayed extensive integration in the host tissue, elongation of both graft and host axons, and relay formation leading to functional recovery (Dell'Anno *et al.*, 2018). A step closer to clinical application, fetal human spinal cord-derived NPCs were grafted into sites of cervical SCI in rhesus monkeys (*Macaca mulatta*), under immunosuppression, after scaling up and modifying the transplantation techniques applied in rodents (Rosenzweig *et al.*, 2018). Grafts were detected up to, at least, 9 months after injury, not actually migrating or forming ectopic colonies, expressing both neuronal and glial markers and maturing over time, while human axons were observed to extend beyond the graft and host white matter, and formed synapses in the distal gray matter. In addition, host axons displayed synaptic connectivity within the graft whereas improved forelimb function was recorded several months after transplantation (Rosenzweig *et al.*, 2018). Thus, human pluripotent stem cell-derived spinal cord NSCs could enable a broad range of biomedical applications, not merely for *in vitro* disease modeling but also accounting for an improved, clinically translatable, cell source for 'replacement' strategies for SCI.

Besides isolation from primary embryonic tissue, or directed differentiation from human ESCs or iPSCs, spinal cord NPCs can be derived from neuromesodermal progenitors (NMPs) that constitute a pool of caudal axial progenitor cells (Gouti *et al.*, 2014; Tzouanacou *et al.*, 2009) providing the regional specificity for application in SCIs. NMPs (identified by the co-expression of the mesodermal transcription factor Brachyury and the NPC marker Sox2) were identified in the caudal lateral epiblast of

the developing embryo and are characterized as the natural origin of the spinal cord and adjacent mesodermal somites while they also give rise to neural crest cells in subsequent stages (Gouti *et al.*, 2015). In a recent study, formations named ‘neural ribbons’ were fabricated in degradable hydrogel to home spinal cord NPCs coming from human iPSC-derived NMPs (Fig. 2). Bipotent NMPs were induced by defined Wnt/FGF treatment and further neuralized by dual SMAD inhibition and Notch signaling inhibition to prevent endodermal and mesodermal differentiation. The produced spinal cord NPCs were patterned toward cervical identity by exposure to retinoic acid during differentiation and were also directed to the ventral fate of the motor neuron progenitor domain (pMN) of the dorso-ventral patterning axis by the addition of a sonic hedgehog agonist in culture (Olmsted *et al.*, 2020). After extensive characterization, the patterned spinal cord NPCs were encapsulated in a biodegradable alginate-based hydrogel and templated into neural ribbons by passing them through a needle tip. The rationale was to protect in a matrix the NPC graft from the deleterious environment of the injured spinal cord. Survival and differentiation of the NPCs was verified in the alginate biomaterial that was also successfully evaluated as a molecular carrier of chondroitinase ABC, suggesting that the neural ribbons offer a novel means not only for cell but for drug delivery, as well. In a proof-of-concept trial, the neural ribbons were transplanted in a rat model of cervical contusion injury and displayed improved survival and process extension across the lesion cavity border, as compared with equivalent number of cells grafted in suspension (Olmsted *et al.*, 2020). Therefore, this combinatorial approach of homotypic regionalized NPCs encapsulated in alginate-based biomaterial constitutes a protective 3D platform for cell delivery, retention, and positioning in SCI with potential therapeutic value (Fig. 2) (Olmsted *et al.*, 2020).

The Prospect of Three-Dimensional Neural Assemblies

The technological innovation of stem cell-derived, self-organizing three-dimensional (3D) structures, also referred to as organoids has demonstrated a strong potential to reproduce the cellular and structural complexity of the CNS tissue that cannot be captured in monolayer culture systems (Mansour *et al.*, 2021). Thus, the ultimate goal of stem cell biology, to apply principles learned from developmental biology for the generation of cells and tissues that mimic their *in vivo* counterparts and facilitate the

study of otherwise inaccessible live human tissues, is being served in an revolutionary manner and this explains the continuously growing scientific interest in such systems (Mansour *et al.*, 2021). Indeed, brain (Birey *et al.*, 2017; Lancaster *et al.*, 2013) and spinal cord (Andersen *et al.*, 2020; Duval *et al.*, 2019; Meinhardt *et al.*, 2014; Ogura *et al.*, 2018) organoids – apart from their profound role as advanced models for studying CNS development and pathophysiology in a human (and personalized, if necessary) setting, could be foreseen as biological, transplantable, 3D constructs comprising different essential cell populations, with or without disturbance of their favorable niche. The regional specificity that brain and spinal cord organoids can be directed to (Mansour *et al.*, 2021), is a desired feature for cell replacement approaches, as discussed above. Interestingly, to improve the survival of brain organoids through vascularization (a crucial element missing from organoids grown in culture) and to study connectivity patterns under physiological conditions, two recent studies described the methodological transplantation of cerebral organoids into neonatal (Daviaud *et al.*, 2018) and adult (Mansour *et al.*, 2018) mouse brains and reported effective vascularization, preservation of the organoid’s cytoarchitecture and progressive differentiation into neuronal and glial fates along with long-term maturation, increased survival, and synaptic integration in the host tissue. Moreover, brain (Kitahara *et al.*, 2020; Wang *et al.*, 2020b) and spinal cord (Lai *et al.*, 2018) organoids have already been transplanted in animal models of relevant injuries.

Lai *et al.* combined neuronal and oligodendroglial induction techniques on spinal cord NPCs and developed a novel spinal cord-like tissue construct with modular assemblies of white matter-like and gray matter-like tissues with distinct placement into a collagen sponge columnar substrate (separately cultured at the beginning and combined at later stages), to resemble the *in vivo* architecture of the spinal cord. The spinal cord-like tissue constructs were transplanted in a rat model of spinal cord transection and, two months later, the injured rats were recorded with significant recovery of their hind limb motor function and electrophysiological presentation, as compared with controls (Lai *et al.*, 2018). In the white matter module located at the periphery of the graft, graft-derived myelin sheaths were detected wrapping around axons whereas in the central compartment of the gray matter module the majority of the cells were neurons and astrocytes. Graft-derived neurons extended processes that supported host regenerating axons in reinnervation while also being remyelinated. Moreover, descending serotonergic and ascending sensory fibers were

detected to regenerate and grow through the graft to the opposite side also forming synaptic contacts with the graft-derived neurons (Lai *et al.*, 2018). Albeit this is the first and, so far, the only study reporting transplantation of a 3D construct with multicellular organization in SCI, that requires reproduction, it provides proof of concept that bioengineering-based regenerative medicine has entered a new era of applying implantable prebuilt tissue such as organoids for functional CNS restoration.

As pointed out above, grafts such as stem cells and organoids that represent early developmental stages of the relevant tissue/organ may hold a remarkable therapeutic potential due to the expected reciprocal interactions with the host tissue environment and the growingly appreciated neural plasticity facilitated through the principles of developmental axonal growth that could promote regeneration in the adult CNS (Hilton and Bradke, 2017; Poplawski *et al.*, 2020). However, specialized organoids, such as cerebral organoids or spinal cord organoids lack important structures of other, than the neuroectoderm, germ layer origins, including vasculature, microglia, peripheral immune cells (mesodermal origin), and neural crest-derived Schwann cells, all playing major roles in spinal cord repair after injury. Therefore, the cellular complexity of the CNS is not accurately represented and long-term viability and maturation are confined, also questioning organoids' therapeutic potential (Mansour *et al.*, 2021). More complex than single organoids 3D structures have already been presented, comprising more than one regions of the CNS or even different tissues, and some of the most exciting examples are following outlined (Fig. 2). **Three-dimensional cortico-motor assembloids** were originally established by the group of S. Pasca, and represent 3D cultures formed from the functional fusion of three independent spheroids resembling the cerebral cortex, the hindbrain/spinal cord, and skeletal muscle, all derived from human iPSCs (Andersen *et al.*, 2020). Self-organizing **3D human trunk neuromuscular organoids** were developed by the group of M. Gouti who took advantage of the bipotency of NMPs and generated dual organoids with neural and muscular modules (Faustino Martins *et al.*, 2020), functionally connected with neuromuscular junctions. **Circuitoids** were presented as synthetic microphysical neural networks that comprise precise combinations of spinal neuron subtypes (both excitatory and inhibitory) derived from mouse stem cells, and allow the investigation of the control of oscillatory properties that emerge in interconnected neuronal networks (Sternfeld *et al.*, 2017). **Gastruloids** are 3D culture

systems that can recapitulate early mammalian embryogenesis in a multi-lineage context with significant accuracy. The first studies used mouse ESC- and human ESC-derived gastruloids to investigate the anterior-posterior organization. Similar structures were used for the *in vitro* demonstration of embryogenesis events such as symmetry breaking, axial elongation, somitogenesis, and cardiogenesis (Sahu and Sharan, 2020). Very recently, an elongating multi-lineage organized gastruloid system was established from NMPs to study co-developing central and peripheral neuronal systems with trunk mesoendoderm. A forty-day evaluation of both ectodermal cells and non-ectodermal neural crest cells revealed that neural crest cells differentiate to peripheral neurons and integrate with an upstream spinal cord region after eight days. Polarization events follow in coordination with endoderm differentiation and primitive gut tube formation during multicellular spatial reorganization (Olmsted and Paluh, 2021). This combined human CNS-PNS model of early organogenesis provides a toolbox for interrogating developmental events of mesendoderm and neuromuscular trunk regions and enables systemic studies of tissue interactions and innervation of neuromuscular, enteric, and cardiac systems.

Summary

Undoubtedly, human CNS repair represents a major challenge of modern neuroscience and medicine, due to the huge and permanent impact of CNS injuries on patients in medical, social, and financial terms. The progress in preclinical research is, unfortunately, not reflected in clinical trials that have failed to provide even moderate functional improvement, placing under question the validity of animal and other preclinical models. However, the knowledge that has already been gathered is of definite importance because it provides potential targets to pursue or to avoid when designing a novel approach. For example, it is widely accepted that the therapeutic approaches should target (each one alone or in combination) the three major modules of SCI neuropathology, i.e., the inhibitory environment of the injured tissue, the lack of permissive substrates in the lesion site, and the incapacity of adult CNS neurons to activate their growth program. The exploitation of neurodevelopmental principles in favor of CNS regeneration is indeed a promising approach that stems from the results of fundamental research demonstrating that mechanisms of embryogenesis and

organogenesis, hibernated in the adult, are moderately (and apparently inadequately) reactivated during spontaneous regeneration processes. Moreover, the field of stem cells has exhibited remarkable progress enabling future research to more efficiently incorporate stem cell transplantation in the treatment of SCI. Alongside with advancements in bioengineering, stem cells could serve the design of transplants with favorable properties in terms of survival and integration in the host tissue, anatomical compatibility and immunocompatibility, as well as translational relevance. Three-dimensional stem cell assemblies are expected, in the future, to provide specialized transplants with unique properties in addition to serving as preclinical humanized models that in combination with existing *in vivo* models would pave the way toward more translatable results.

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Figure Legends

Figure 1. Major cellular elements of the intact and injured spinal cord. Schematic representation of the intact spinal cord and of the different stages of spinal cord secondary injury that initiates after primary mechanical insult. During acute and subacute phases a massive death of spinal cord cells is observed including, but not limited to, apoptotic and necrotic death of neurons and oligodendrocytes, the myelin forming cells of the CNS. The disruption of axonal integrity is followed by axonal degeneration, die-back, and demyelination of ascending and descending tracts, resulting to the loss of neural circuits. Events including hemorrhage, edema, disruption of the blood-spinal cord barrier, and release of cytotoxic byproducts and inflammatory molecules induces the activation of microglia and infiltration of peripheral macrophages for the clearance of the damaged tissue. At the same time astrocytes become reactive and start forming the glial scar along with other cell types such as meningeal fibroblasts, and OPCs. The accumulation of ECM molecules, e.g., CSPGs, further contributes to the scar establishment. Ependymal stem cells are activated and differentiate majorly to astrocytes. During the chronic phase, axons continue to degenerate, whereas the persistence of some demyelinated spared axons is apparent. Surviving neurons may establish new connections, with the possibility of undesired functional consequences. OPCs insufficiently differentiate to myelinating oligodendrocytes and Schwann cells (myelin-forming cells normally found in the PNS). Any endogenous attempts for axonal regeneration and remyelination are prohibited by the consolidated glial scar that encloses the lesion core and acts as a physical and chemical barrier, the formation of cystic cavities but also by the sustained, yet moderately resolved, inflammation.

Figure 2. Novel three-dimensional neural assemblies. Three-dimensional cellular *in vitro* formations, established by specifically induced differentiation of pluripotent stem cells to heterogeneous cell populations, have been demonstrated during the past few years. The less complex ‘neural ribbons’ represent human iPSC-derived NPCs encapsulated in a biodegradable hydrogel and suggest a transplantable cellular construct for the treatment of SCI. More complex self-organizing functional setups, including corticomotor assembloids, trunk neuromuscular organoids, circuitoids, and gastruloids were devised as *in vitro* models for the understanding of embryogenesis and organogenesis in a human setting and in the future could serve the comprehension of developmental mechanisms participating in the pathophysiology of SCI or even provide advanced transplantable 3D tissue formations.

Figures

Figure 1

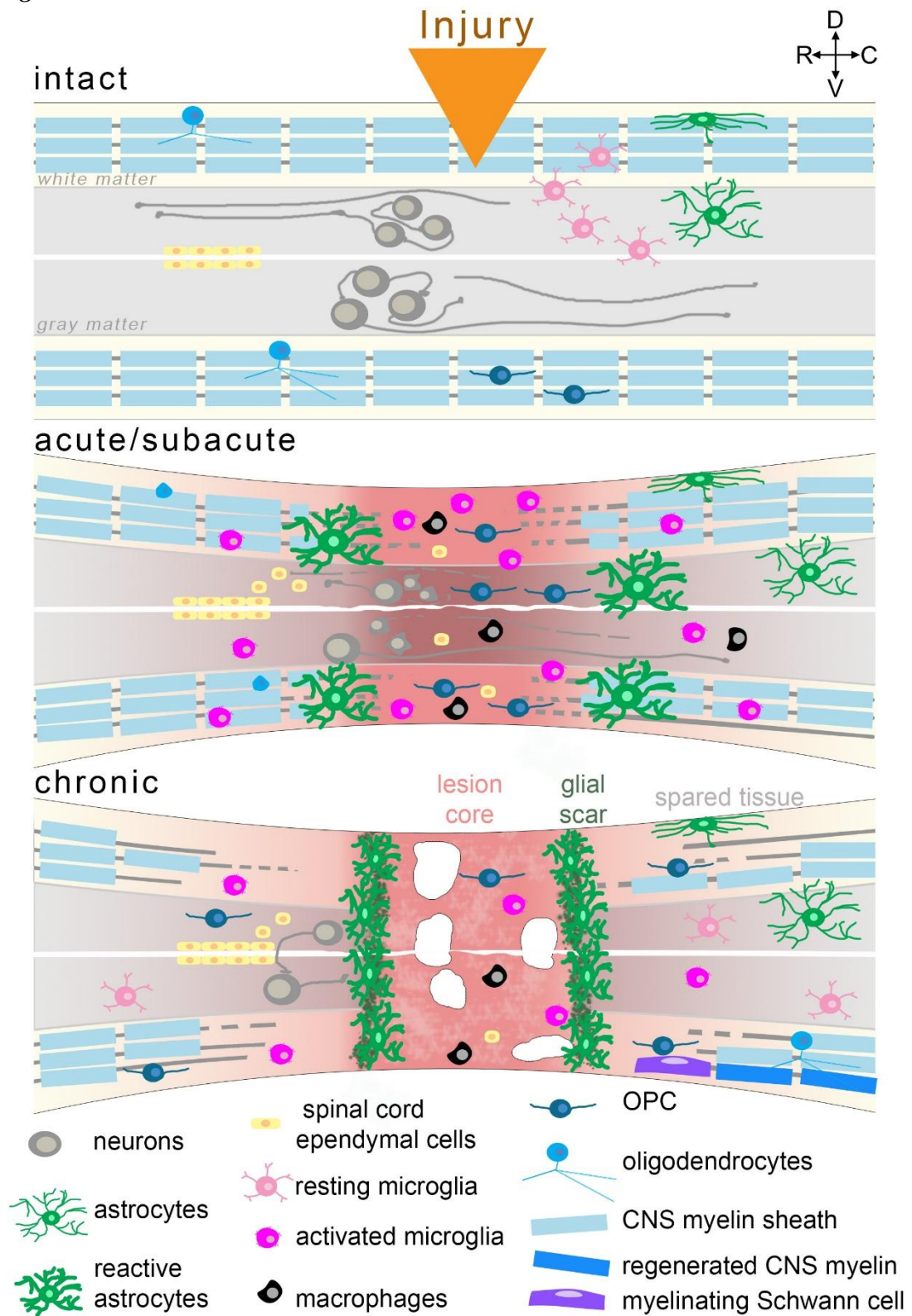


Figure 2

