

Otx2 expression is restricted to dopaminergic neurons of the ventral tegmental area in the adult brain

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ABSTRACT Mesencephalic-diencephalic dopaminergic (mdDA) neurons control motor, sensorimotor and motivated behaviour and their degeneration or abnormal functioning is associated with important pathologies, such as Parkinson's disease and psychiatric disorders. Despite great efforts, the molecular basis and the genetic factors differentially controlling identity, survival and vulnerability to neurodegeneration of mdDA neurons of the substantia nigra (SN) and ventral tegmental area (VTA) are poorly understood. We have previously shown that the transcription factor Otx2 is required for identity, fate and proliferation of mesencephalic DA (mesDA) progenitors. By using mouse models and immunohistochemistry, we have investigated whether Otx2 is expressed also in post-mitotic mdDA neurons. Our data reveal that Otx2 is expressed in post-mitotic mesDA neurons during mid-late gestation and in the adult brain. Remarkably, Otx2 expression is sharply excluded from mdDA neurons of the SN and is restricted to a relevant fraction of VTA neurons. Otx2⁺-TH⁺ neurons are concentrated to the ventral part of the VTA. Combined expression with other regionalized VTA markers shows that Otx2⁺-TH⁺ neurons are prevalently Girk2⁻ and Calb⁺ and among these, those located in the medial and ventralmost portion of the VTA are also Ahd2⁺. These findings indicate that Otx2 represents the first transcription factor with a proven role in mdDA neurogenesis whose expression discriminates between SN and a relevant proportion of VTA neurons. This supports the possibility that Otx2 may act as a post-mitotic selector controlling functional features (e.g. identity and/or survival) of a relevant fraction of VTA neurons in the adult.

KEY WORDS: *Otx2, mesencephalic-diencephalic, calbindin, Ahd2, Parkinson's disease*

Introduction

Mesencephalic and diencephalic dopaminergic (mdDA) neurons are located in stereotypic positions corresponding to the ventral tegmental area (VTA), the substantia nigra (SN) and the retrorubral field (RRF) and originate from progenitors located in the floor plate region of the anterior prosomeres and ventral mesencephalon (Marin *et al.*, 2005; Smidt and Burbach, 2007; Hokfelt *et al.*, 1984). The clinical relevance of mdDA neurons is high because of their regulatory and modulating functions in motor, sensorimotor and motivated behaviours (Jellinger, 2001). Degeneration of SN neurons leads to the characteristic symptoms of Parkinson's disease, while abnormal functioning of VTA neurons is involved in psychiatric disorders. These pathologies highlight the enormous effort to understand the molecular basis

controlling generation, survival and functioning of mdDA neurons. Several gene functions including the transcription factors Pitx3, Lmx1a, Lmx1b, En, Msx1, Foxa2, Ngn2 and Otx2 as well as the orphan nuclear receptor Nurr1, the Wnt1 and Wnt5a members of the Wnt family, the signalling molecules Shh and Fgf8 and the Retinoic Acid play a relevant role in specification, differentiation and survival of mdDA neurons (reviewed in Smidt and Burbach, 2007; Smits *et al.*, 2006; Prakash and Wurst, 2006; Simeone,

Abbreviations used in this paper: Ahd2, aldehyde dehydrogenase family 1, subfamily A1 gene; Calb, calbindin D28K; Girk2, G-protein-gated inwardly rectifying K⁺ channel subunit; mdDA neuron, mesencephalic-diencephalic dopaminergic neuron; mesDA progenitor, mesencephalic dopaminergic progenitor; SN, substantia nigra; TH, tyrosine hydroxylase; VTA, ventral tegmental area.

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more evident at E15.5 and E18.5. At these stages, Otx2 was absent in the diencephalic and mesencephalic SNpc neurons (Fig. 1 D,G), and expressed in a relevant number of VTA neurons (Fig. 1 E,F,H,I). In the adult brain at around post-natal day 75 (P75), the expression of Otx2 was maintained selectively in TH⁺ neurons of the VTA and absent in those of the SN (Fig. 1 J-L). In particular, the Otx2⁺-TH⁺ neurons appeared prevalently confined to the central and ventral VTA (Fig. 1 K,L). Detailed analysis along the anterior-posterior (A-P) axis of the mesencephalon and cell-counting of Otx2⁺-TH⁺ neurons over the total number of VTA TH⁺ neurons, showed that in the anterior VTA (Fig. 1K) 28% of TH⁺ neurons were Otx2⁺ and in the intermediate VTA (Fig. 1L), this percentage was increased up to 65%. Moreover, the density of Otx2⁺-TH⁺ neurons showed a graded distribution also along the dorsal-ventral axis of the VTA. To evaluate numerically this distribution we arbitrarily subdivided the VTA of the intermediate mesencephalon in three areas: dorsal, central and ventral (Fig. 1L) and determined the percentage of Otx2⁺-TH⁺ neurons in these areas. In the dorsal, central and ventral domains the percentage of TH⁺ neurons exhibiting Otx2 expression was 8%, 37% and 75%, respectively. However, in this analysis we also identified a small percentage (7%) of Otx2⁺-TH⁺ neurons located within the VTA (arrows in Fig. 1 K,L). Thus, these findings indicate that Otx2 represents a molecular correlate of a restricted population of post-mitotic and adult mesDA neurons prevalently distributed in the ventral and central VTA. This supports the possibility that Otx2 may also be required as a selector controlling functional features of a restricted population of embryonic post-mitotic and/or adult VTA neurons. Then, since the Otx2 antibody recognizes, although at a reduced efficiency, also Otx1, we analyzed whether the expression profile previously shown was due to Otx2 or Otx1 or to both. To this aim we first analyzed a mouse model in which the *Otx2* coding sequence and introns were replaced by the GFP reporter gene (Acampora *et al.*, 2009). In E18.5 and adult *Otx2*^{GFP/+} mice, the GFP expression sharply colocalized with TH and Otx2 in VTA neurons (Fig. 2 D-O), while no TH⁺-GFP⁺ neurons were detected in the SN (Fig. 2 A-C), thus confirming that the staining previously shown was due to Otx2 expression. To assess the possibility that also Otx1 was expressed in these neurons, we analyzed Otx1 null mutants carrying the Cre activity under the control of *Otx1* (Puelles *et al.*, 2003). In *Otx1*^{Cre/Cre} mutants the Otx2 staining was unaffected (Fig. 3 A,B) and no TH⁺-Cre⁺ neurons were identified (Fig. 3 C,D), thus ruling out the possibility that also Otx1 was expressed in mdDA neurons. Similar results were observed also in *Otx1*^{Cre/Cre} embryos (data not shown).

Otx2 expression defines different subsets of VTA DA neurons

To determine whether the Otx2 expression may be correlated to specific subsets of adult VTA neurons, we first analyzed at single cell level the expression of

several VTA neuronal markers and, subsequently, correlated the Otx2 expression to them. At E18.5, we analyzed the expression of Pitx3, Ahd2 and Calb and in adult brains also that of Girk2, which was not expressed before P10. Pitx3 was co-expressed with TH even though its expression level appeared reproducibly not uniform in all neurons and in some of them was undetectable (Fig. 4 A,E,J). As previously reported (McCaffery and Drager,

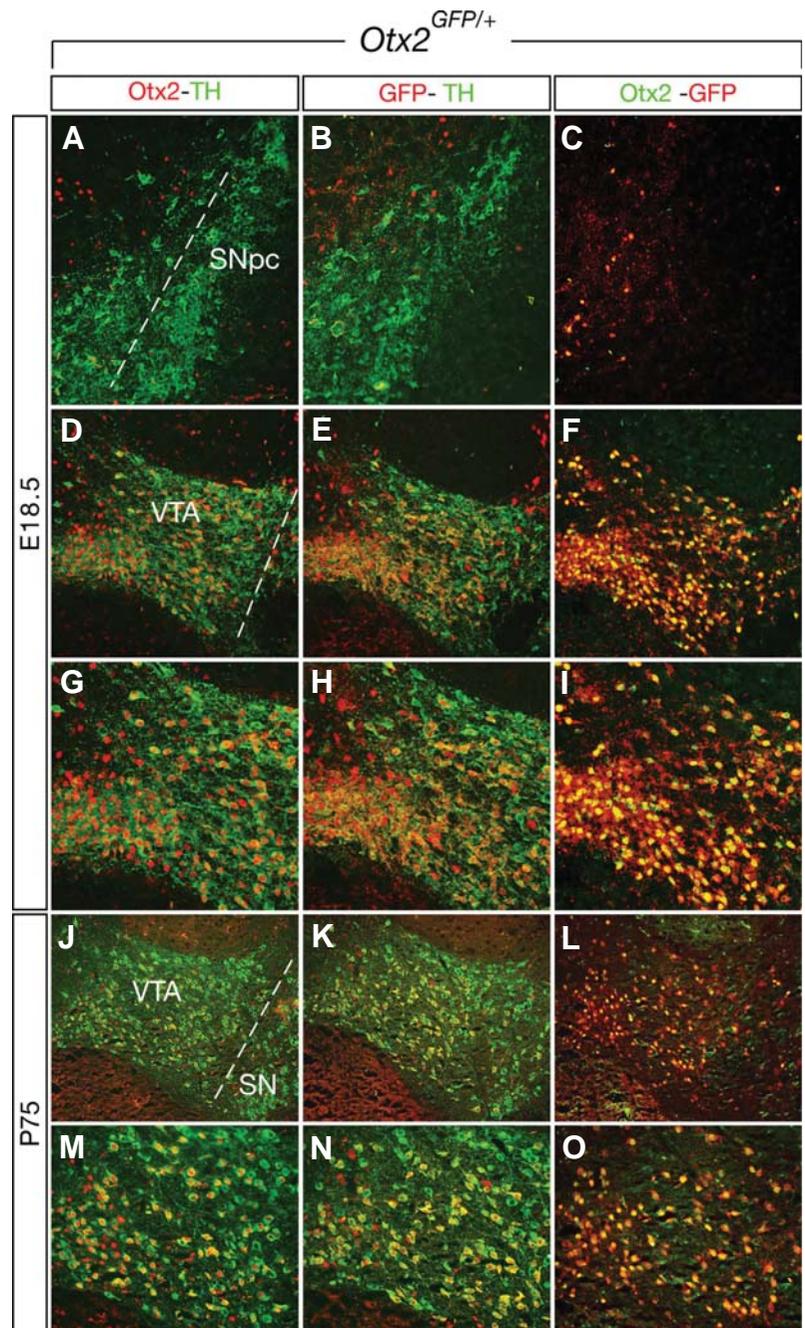


Fig. 2. Otx2-driven GFP expression confirms Otx2 expression in TH⁺ mesDA neurons. (A-O) Transverse adjacent sections of E18.5 (A-I) and P75 (J-O) *Otx2*^{GFP/+} mutants immunostained with Otx2 and TH, GFP and TH, and Otx2 and GFP show that Otx2 and GFP sharply colocalize in TH⁺ neurons of the VTA (D-O) and are absent in those of the SN (A-C). (G-I) and (M-O) are magnification of (D-F) and (J-L) respectively. Abbreviations as in Fig. 1.

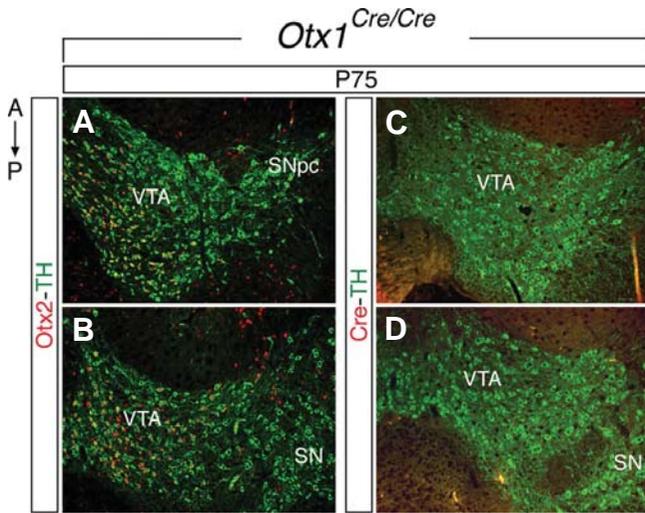
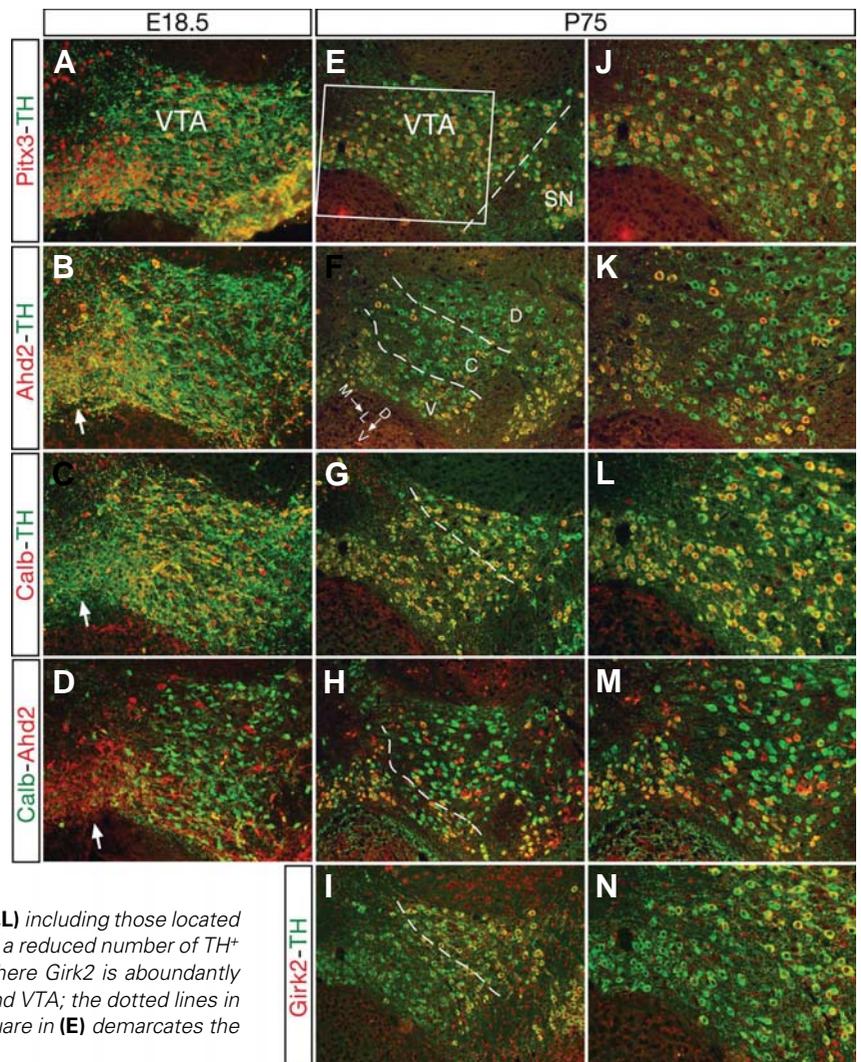


Fig. 3. Otx1 is not expressed in VTA neurons. (A-D) Transverse sections of a P75 *Otx1^{Cre/Cre}* mouse immunostained with *Otx2* and TH (A,B) and *Cre* and TH (C,D) show no variation in the number of *Otx2*⁺-TH⁺ neurons and no staining for *Otx1*-driven *Cre* activity. Abbreviations as in Fig. 1.

1994; Jacobs *et al.*, 2007), *Ahd2* was prevalently expressed in TH⁺ neurons located in the medial-ventral part of the VTA and in some scattered neurons distributed in the central VTA (Fig. 4 B,F,K). *Calb* was expressed at E18.5 in a relatively low percentage of neurons distributed all along the dorsal-ventral (D-V) axis of the VTA (Fig. 4C). *Calb*⁺-TH⁺ neurons were scarcely detected at this stage in the medial part of the VTA, where the *Ahd2* expression was predominant (arrow in Fig. 4 B-D). In the adult brain, *Calb* was instead expressed in most of the TH⁺ neurons with the exception of those concentrated in the lateral-dorsal corner of the VTA (Fig. 4 G,L).

Fig. 4. Marker analysis highlights different subpopulations of TH⁺ neurons in the VTA. (A-D) Transverse adjacent sections at E18.5 immunostained with *Pitx3* and TH (A), *Ahd2* and TH (B), *Calb* and TH (C) and *Calb* and *Ahd2* (D) show that *Pitx3* is expressed at high level in most but not in all of the VTA TH⁺ neurons (A); *Ahd2* is prevalently expressed in the medial and ventral VTA (arrow in B); *Calb* is detected in the central and lateral VTA all along the dorsal-ventral axis and excluded medially (arrow in C), as it is evident in *Ahd2*-*Calb* co-immunostaining (arrow in D). (E-N) Transverse adjacent sections of a P75 adult brain immunostained with *Pitx3* and TH (E,J), *Ahd2* and TH (F,K), *Calb* and TH (G,L), *Calb* and *Ahd2* (H,M) and *Girk2* and TH (I,N) show that even in the adult brain *Pitx3* is expressed at high level in most but not in all of the TH⁺ neurons (E,J); *Ahd2* is restricted to TH⁺ neurons located along the ventral and medial VTA (F,K); *Calb* is expressed in most of the TH⁺ neurons (G,L) including those located medially and expressing *Ahd2* (H,M), while it is detected in a reduced number of TH⁺ neurons located in the lateral-dorsal corner of the VTA where *Girk2* is abundantly detected (I,N). The dotted line in (E) demarcates the SN and VTA; the dotted lines in (F-I) demarcate the dorsal, central and ventral VTA; the square in (E) demarcates the area shown in (J-N). Abbreviations as in Fig. 1.



Noteworthy and compared to E18.5 embryos, in the adult VTA most if not all the *Ahd2*⁺ neurons were *Calb*⁺ (Fig. 4 H,M), thus suggesting further refinement of TH⁺ neurons in their post-mitotic identity during post-natal life. Finally, we analyzed the expression of *Girk2* (Schein *et al.*, 1998; Andersson *et al.*, 2006), which was expressed at high level prevalently in the dorsal-lateral part of the VTA, where it seemed to mirror the *Ahd2* expression profile in the medial-ventral part of the VTA (Fig. 4 I,N). Thus, through this expression analysis and previous studies (McCaffery and Drager, 1994; Smidt *et al.*, 1997; Murer *et al.*, 1997; Jacobs *et al.*, 2007; Liang *et al.*, 1996), we have defined several TH⁺ subpopulations of adult VTA neurons. In particular, the majority of TH⁺ neurons were *Calb*⁺, and, among these, those in the medial and ventral VTA co-expressed also *Ahd2*; conversely, TH⁺ neurons located in lateral-dorsal corner of the VTA exhibited strong expression of *Girk2* and a fraction of them were *Calb*⁻. Based on this expression profile, we analyzed the expression of *Otx2* to provide further information on its potential role in specific VTA neuronal subpopulation(s). As previously shown (Fig. 1), almost all (93%) of the *Otx2*⁺ neurons were TH⁺ and were concentrated prevalently in the ventral and central VTA (Fig. 5 A,E). Comparison with *Pitx3* revealed that most of the *Otx2*⁺ neurons were *Pitx3*⁺ (Fig. 5 B,F). However, we noticed that about 20% (22%) of the *Otx2*⁺ neurons

exhibited weak or almost undetectable expression of Pitx3 (Fig. 5 B,F). At E18.5 the majority of Otx2⁺ neurons in the medial VTA were Calb⁻ and Ahd2⁺ (arrow in Fig. 5 C,D) while, among those located more dorsally in the central VTA, a relevant fraction did express Calb (Fig. 5C). In the adult the expression profile was different. Indeed, in the central VTA most of the Otx2⁺ neurons were Ahd2⁻Calb⁺ (arrows Fig. 5 G,H), while in the ventral VTA, Otx2⁺ neurons were in the large majority Calb⁺-Ahd2⁺ (Fig. 5 G,H). Finally, comparison with Girk2 showed that Girk2 and Otx2 were only sporadically co-expressed in the dorsal VTA (arrows in Fig. 5I). However, since Girk2 was expressed at a low level also in the rest of the VTA, a few Otx2⁺-Girk2⁺ neurons could be found in the central and ventral VTA (Fig. 5I). This analysis indicates that Otx2 is sporadically expressed in TH⁺ neurons of the dorsal VTA where Girk2 is abundant, while it is prevalently detected together with Calb in a population of TH⁺ neurons located in the central VTA, and with Ahd2 and Calb in TH⁺ neurons of the ventral VTA (Fig. 6).

Discussion

Previous studies indicated that: *i*) Otx2 together with Otx1 is required to control the positioning of *Shh* and *Fgf8* expression and that failure in this control generates profound alteration in the identity code of progenitor domains in the ventral mesencephalon (Puelles *et al.*, 2003); *ii*) Otx2 is required in the ventral mesencephalon to suppress the transcription factor Nkx2.2 and prevents the generation of Serotonergic neurons in place of red nucleus and dorsalmost mesDA neurons (Puelles *et al.*, 2004); *iii*) Wnt1 and Otx2 may be engaged in a positive feedback loop, which, is required for proper development of mesDA neurons (Prakash *et al.*, 2006); and *iv*) Otx2 is intrinsically required to control mesDA neurogenesis through a graded A-P effect on the proliferation and differentiation of mesDA progenitors (Omodei *et al.*, 2008). On the basis of these findings we have hypothesized that if expressed in post-mitotic embryonic and/or adult mdDA neurons, Otx2 might be involved also in controlling post-mitotic aspects of mdDA neuronal differentiation and, in the adult brain in proper functioning of mdDA neurons. In a first attempt, we have analyzed in detail the Otx2 expression during the post-mitotic transition of mdDA progenitors and their maturation during mid-late development, and then, whether Otx2 is a molecular correlate of adult mdDA neurons. At E12.5 Otx2 is abundantly expressed in mdDA progenitors located in the floor plate region of the posterior diencephalon and mesencephalon, while in differenti-

ated TH⁺ neurons is detected only in a fraction of those originating from mesDA progenitors and fated to populate the VTA. Detailed inspection of embryos and cell-counting of Otx2⁺-TH⁺ neurons in the adult VTA, showed that their percentage gradually increases along the A-P axis. This finding correlates with a similar polarity previously reported for Otx2 in the control of proliferation and differentiation of mesDA progenitors (Omodei *et al.*, 2008) and suggests the existence of a fine mechanism preventing Otx2 expression in TH⁺ neurons fated to generate the SN. We speculate that this mechanism should operate prevalently during post-mitotic transition of progenitors fated to generate SN neurons and should be extremely efficient, since essentially no adult SN cells express Otx2. This study also shows that the restricted expression of Otx2 represents a stable molecular correlate restricted to the VTA even during post-natal life at least until one year of age (data not shown). The observation that Otx2 is selectively ex-

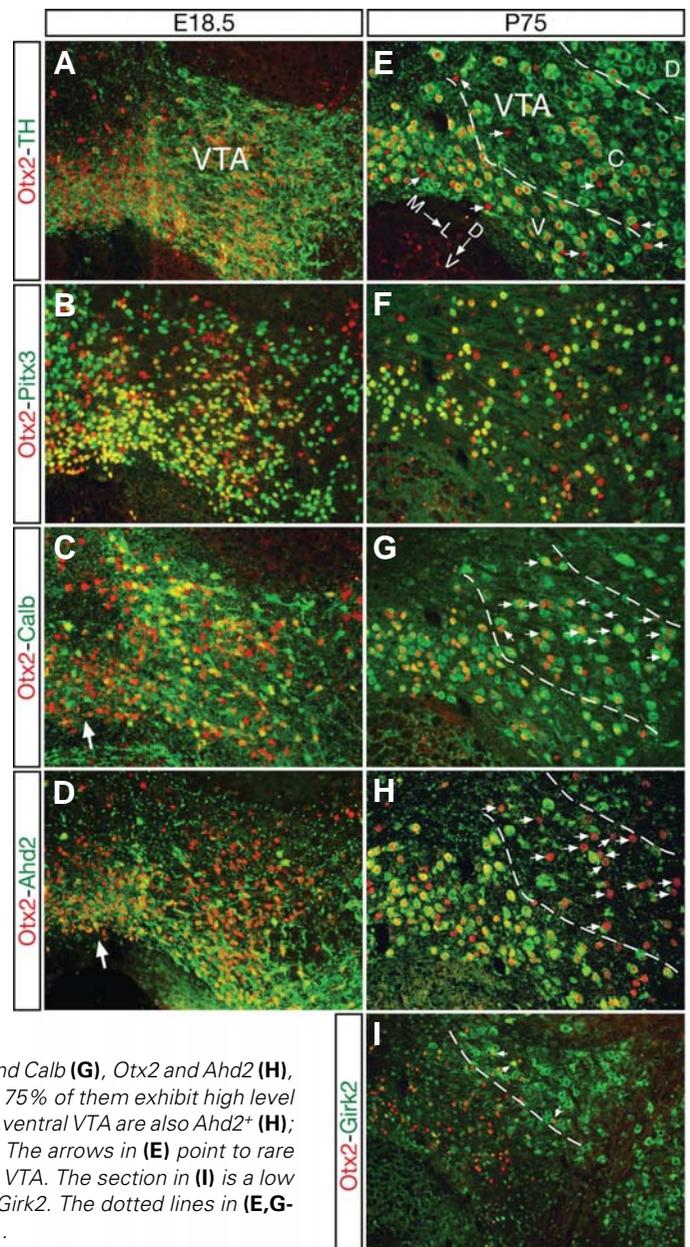


Fig. 5. Otx2 expression is prevalently associated with TH⁺ neurons expressing Calb or both Calb and Ahd2. (A-D) Transverse adjacent sections at E18.5 immunostained with Otx2 and TH (A), Otx2 and Pitx3 (B), Otx2 and Calb (C) and Otx2 and Ahd2 (D) show that most of the Otx2⁺ neurons are TH⁺ (A) and Pitx3⁺ (B); those located in the medial VTA are prevalently Ahd2⁺ (arrow in D) and only a fraction of them are at this stage Calb⁺ (arrow in C). (E-I) Transverse adjacent sections of a P75 adult brain immunostained with Otx2 and TH (E), Otx2 and Pitx3 (F), Otx2 and Calb (G), Otx2 and Ahd2 (H), and Otx2 and Girk2 (I) show that most of the Otx2⁺ cells are TH⁺ (E); about 75% of them exhibit high level of Pitx3 (F); most of them are Calb⁺ (G); and those located in the medial and ventral VTA are also Ahd2⁺ (H); while, in contrast, only sporadically Otx2⁺ neurons are Girk2⁺ (arrows in I). The arrows in (E) point to rare Otx2⁺-TH⁻ cells; those in (G,H) to Otx2⁺-Calb⁺-Ahd2⁺ neurons in the central VTA. The section in (I) is a low magnification to better show the complementary expression of Otx2 and Girk2. The dotted lines in (E,G-I) demarcate the dorsal, central and ventral VTA. Abbreviations as in Fig. 1.

pressed in a relevant fraction of VTA neurons and absent in SN neurons represents, in our opinion, the major finding of this study. Indeed, despite the great effort lavished to identify selective markers and genetic functions required to maintain and/or specify identity, survival and differential vulnerability to neurodegeneration of the SN and VTA neurons, very little is known about these topics, and so far, very few, if any, gene functions have been shown to be exclusively expressed in the VTA or SN. Nevertheless, several genes (eg. *Girk2*, *Ahd2*, *Calb*) are preferentially but not exclusively expressed in SN or VTA neurons (Liang *et al.*, 1996; Jacobs *et al.*, 2007; Greene *et al.*, 2005; Chung *et al.*, 2005; Wallén *et al.*, 1999; Schein *et al.*, 1998). Alternatively, gene functions such as *Pitx3*, *Nurr1*, *Lmx1b*, *En1* and *Foxa2*, which play a crucial role in specification and survival of mdDA neurons, are ubiquitously expressed in post-mitotic embryonic and adult VTA/SN neurons (reviewed in Smidt and Burbach, 2007; Smits *et al.*, 2006; Sonnier *et al.*, 2007; Prakash and Wurst, 2006; Simeone, 2005). In this context, *Otx2* represents, to our knowledge, the first transcription factor with a crucial role in mesDA neurogenesis that is exclusively expressed in VTA neurons, being sharply excluded from those of the SN. A last finding of this study is the observation that, although restricted to VTA neurons, *Otx2* is expressed only in a subset of them thus reinforcing the idea that VTA neurons are differentially patterned and, on the basis of their expression code and position, may represent neuronal subpopulations with different functional properties. This possibility is also supported by the restricted expression exhibited by *Calb*, *Girk2* and *Ahd2*, and the phenotypic analysis of *Pitx3* mutants. Indeed *Calb*, *Girk2* and *Ahd2* are expressed in complementary and/or overlapping subpopulations of VTA neurons (Liang *et al.*, 1996; Schein *et al.*, 1998; McCaffery and Drager, 1994) and lack of *Pitx3* which is

expressed in virtually all mdDA neurons generates the selective loss of SN neurons (reviewed in Smidt and Burbach 2007; Simeone 2005). *Otx2* expression is almost completely absent in the dorsal-lateral corner of the VTA where TH⁺ neurons heavily co-expressed *Girk2*; while it is co-expressed with *Calb* in the central VTA and with both *Calb* and *Ahd2* in the medial and ventral VTA (Fig. 6). Noteworthy, the percentage of TH⁺ neurons co-expressing *Otx2* exhibits a graded increase along the D-V axis of the VTA (8%, 37% and 75% in the dorsal, central and ventral VTA, respectively) (Fig. 6). This finding suggests that VTA neurons may be regionalized in subpopulations of differentially specified neurons. In this context it has been reported that *Calb* and *Girk2* are respectively expressed in VTA neurons more resistant to and SN neurons more vulnerable to neurodegeneration (Chung *et al.*, 2005; Greene *et al.*, 2005; Liang *et al.*, 1996; Roffler-Tarlov *et al.*, 1996). Whether *Otx2* is required to confer functional features determining identity, survival or resistance to neurodegeneration of VTA neurons, is now an attracting possibility to be investigated in the near future.

Materials and Methods

Immunohistochemistry

Immunohistochemistry experiments were performed as described (Omodei *et al.*, 2008). The rabbit antibodies were directed against *Otx2* (Omodei *et al.*, 2008), *Pitx3* (Zymed-Invitrogen), *Ahd2* (Abcam), *Calb* (Swant), *Girk2* (Alomone Labs), *Cre* (Novagen), and GFP (MBL); the mouse antibody against TH (Chemicon); and the goat antibodies against *Otx2* (R&D Systems), *Pitx3* (Santa Cruz), *Calb* (R&D System) and *Ahd2* (Abcam).

Dopaminergic cell counting

Four adult brains (P75) (B6D2 strain) were sectioned in 5 series and one series was immunostained with TH. Three sequential sections similar to those shown in (Fig. 1 K,L), were selected at each of the two anatomical levels corresponding to the anterior and intermediate mesencephalon. Sections were immunostained with *Otx2* and TH, *Otx2* and *Calb*, *Otx2* and *Ahd2*, and *Otx2* and *Girk2*. Immunostained sections were photographed and each section printed in A4 format to allow a more precise cell counting. The percentage of TH⁺ VTA neurons expressing *Otx2* as well as the percentage of *Otx2*⁺ neurons co-expressing *Calb* or *Ahd2*, or *Girk2*, was determined. No relevant variability in cell number was observed among the different brains analyzed.

Acknowledgements

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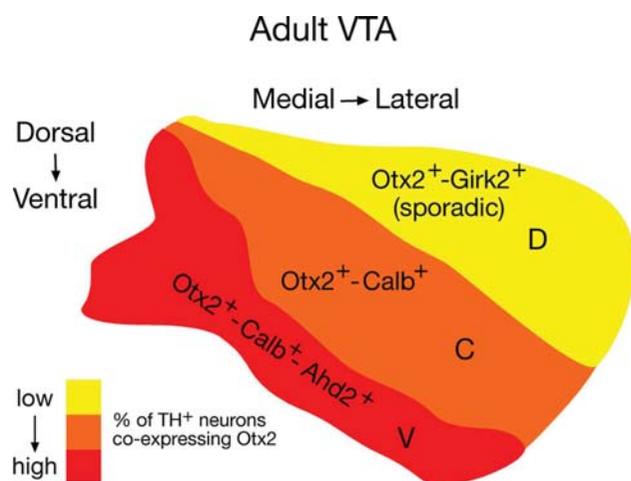


Fig. 6. Schematic representation summarizing *Otx2* expression in the VTA of adult mice. *Otx2* is prevalently detected in TH⁺ neurons located in the central and ventral VTA with a graded increase along the dorsal-ventral axis. In particular, cell counting analysis indicates that in the central VTA (orange) 37% of the TH⁺ neurons are *Otx2*⁺, in the ventral VTA (red) 75% of the TH⁺ neurons are *Otx2*⁺; while in the dorsal VTA less than 10% of the TH⁺ neurons are *Otx2*⁺. Our data also show that while *Otx2* is sporadically co-expressed with *Girk2*, most if not all of the *Otx2*⁺ neurons are *Calb*⁺, and those located in the ventral VTA are also *Ahd2*⁺. Abbreviations as in Fig. 1.

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