

Epigenetic regulation and transcriptional memory in development; selection facilitating prudence

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ABSTRACT The epigenetic mechanisms regulating developmental gene expression are examples of a strategy to generate unique expression profiles with global regulators controlling several genes. In a simplified view, a common set of tools, that include DNA motif recognizing proteins (recruiters), binding/interacting surfaces (ARPs- actin related proteins), epigenetic writers (histone methyltransferases, acetylases), readers (chromatin remodeling proteins, PRC1 members) and erasers (demethylases, deacetylases) form complexes which not only regulate transcription, but also retain the transcriptional memory through mitosis. There are two arms of epigenetic regulation: covalent modification of DNA and the post-translational modification of histones. In this review, we discuss both of these aspects briefly to illustrate functional diversity. We discuss our efforts at utilization of the genome sequence data for *de novo* identification of new players and their functional validation in this remarkable process.

KEY WORDS: *epigenetic regulation, cellular memory modules, INO80, PRE/TRE, moonlighting function*

Introduction

The epigenetic regulation of development involves mechanisms that maintain transcriptional status through development and in some cases, establish trans-generational memory. This field has expanded significantly over the years and there are several recent reviews covering this area. In the present review, we provide a brief overview of the DNA sequence elements that are sites for recruitment of protein complexes and the trans-factors that are recruited to these sites to create a context for the area of our research interest and our contribution in this area.

The development of organisms from fertilized egg to an adult is one of the most efficient and well canalized transcription circuits (Davidson *et al.*, 2002). A transcription circuit consists of genes with spatially and temporally controlled expression, often as a cascade of regulators and targets resulting in a unique transcriptional profile for each of the developmentally committed lineage. The transcriptional circuits are maintained by global regulators, often in the absence of the initial stimulus resulting in the switching on and off of the genes. This is achieved by epigenetic mechanisms that flag the genes for activation or repression via modification of DNA and post-translational modification of the histones which form

an integral part of the Cellular Memory Modules (CMM) (Razin and Riggs, 1980; Ringrose and Paro, 2004).

The CMMs consist of the *cis*-elements that act as recruitment sites for the multi-protein complexes on the chromatin (Fig. 1). Their role in development was first recognized in *Drosophila*. Currently, such modules are identified across phyla and are implicated in a variety of biological processes and diseases, including X chromosome inactivation and tumorigenesis (Henikoff and Greally, 2016; Table 1). There are two arms of epigenetic regulation: the covalent modification of the DNA and the post-translational modification of histones. In the current review, we discuss the epigenetic mechanisms of gene regulation in development. The major focus will be on DNA methylation; histone modification brought about by Polycomb/Trithorax Group Proteins and the associated responsive DNA elements. We discuss our work on the role of chromatin remodeler, INO80 as an Enhancer of Polycomb and Trithorax protein (ETP).

Abbreviations used in this paper: 5hmC, 5hydroxymethyl cytosine; 5mC, 5methyl cytosine; ARP, actin related protein; DNMT, DNA methyltransferase; ETP, enhancer of polycomb and trithorax protein; MLL, mixed lineage leukemia; PRC, polycomb repressive complex; PRE, polycomb response element; TRX, trithorax.

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DNA methylation

The modification of DNA leading to hyper- or hypomethylation of CpG islands in the promoter regions is an important epigenetic mechanism for transcription regulation (Deaton and Bird, 2011). DNA methyltransferases catalyze the transfer of methyl group from S-adenosyl methionine (SAM) to DNA. The DNA methyltransferases are categorized on the basis of the site of methylation (m6A, m4C and m5C). 6-methyl-adenine and 4-methylcytosine were initially identified in only prokaryotes (Ratel et al., 2006). However, there are recent reports of their presence in eukaryotes (Heyn and Esteller, 2015; Xiao et al., 2018).

The methylation of cytosine residues (5mC) at the CpG dinucleotide, is generally associated with the regulatory regions and mediates the repression of the associated genes, whereas 5mC within the gene body leads to increased expression in mammals (Hellman A and Chess A, 2007; Aran et al., 2010).

DNA methylation is reported in all higher eukaryotes, though its presence in *Drosophila* is disputed. The presence of very low levels of 5mC in *Drosophila* genome is reported (Gowher et al., 2000; Capuano et al., 2014). Deshmukh et al., (2018) reported a varying 5mC levels during the life cycle of *Drosophila* and also compared the level of 5mC in different subgenus of *Drosophila*. They detected high 5mC levels in embryo and larva but a drastic reduction in pupae. The reduced level is maintained throughout the adult stages in both males and females. Furthermore, in male flies, higher 5mC levels were found in head tissue as compared to the whole body. A marked difference in the 5mC level was observed between 12 species of the subgenera of *Drosophila* and *Sophomora* with *Drosophila melanogaster* showing the lowest 5mC levels and *Drosophila persimilis* showing the highest (Deshmukh et al., 2018). The same group reported the detection of active DNA methyltransferase in adult flies (Panikar et al., 2015).

DNA methyltransferases

The methylation of cytosine at the 5th position (m5C) which is common in eukaryotes, is brought about by DNA methyltransferases (DNMTs). There are three mammalian DNA methyltransferases DNMT1, DNMT3A and DNMT3B with different activities (Table 2).

DNMT3 is responsible for *de novo* DNA methylation of cytosine residues during gametogenesis and early development. Unlike multiple DNA methyltransferases in mammals, *Drosophila* has only DNMT2, however the DNA methylation in the fly was found to be independent of DNMT2 (Takayama et al., 2014). The lack of most DNMT genes in *Drosophila* was effectively utilized to

TABLE 1

DISEASES IMPLICATED IN EPIGENETIC DYSFUNCTION

<i>Drosophila</i> homologue	Human homologue	Associated disease	Reference
E(z)	EZH2	Weaver syndrome	Gibson et al., 2012
Esc	EED	Hodgkin's Lymphoma, Acute Promyelocytic Leukemia	Dukers et al., 2004
Pho	YY1	Hailey-hailey disease, brain glioma	Kawada et al., 2005
Pc	CBX2	Gonadal dysgenesis	Norling et al., 2013
Brm	SMARCA2	Nicolaides Baraitser syndrome	Van Houdt et al., 2012
Osa	ARID1A/BAF250	Coffin-siris syndrome	Kosho et al., 2014 a and b
Trx	MLL	Acute leukemias	Munoz et al., 2003
Kis	CHD7	Charge syndrome, Kallmann syndrome	Kim et al., 2008

understand the consequence of ectopic expression of DNMT3L and its interaction with Histone H3, lacking methylation at 4th lysine residue (H3K4) (Basu et al., 2016). DNMT3L connects DNA methylation with histone methylation. It drives DNA methylation by recruiting DNMT3A and 3B upon encountering histone H3 lacking methylation H3K4, which is a signature for active transcription (Ooi et al., 2007). To dissect the functional significance of interaction of DNMT3L exclusively with H3, mammalian DNMT3L was ectopically expressed in *Drosophila melanogaster*. The ectopic expression of DNMT3L in flies results in the reduction of active histone marks including H3K4me1, 2 and 3 and H3K36me3. This is correlated with the induction of tumors, though no altered DNA methylation is detected (Basu et al., 2016). Furthermore, the epimutation leading to nuclear reprogramming is trans-generationally inherited; the phenotypic effect increasing progressively through generations is totally dependent upon the presence of ectopically expressed DNMT3L (Basu et al., 2016). The flies resume normal development once the ectopic expression of DNMT3L is terminated, suggesting the role of DNMT3L as a reader of histone methylation status in cells through the developmental stages (Gokul et al., 2007, Basu et al., 2016).

Proteins interacting with methylated DNA

The readers of methylated CpGs are proteins belonging to the methyl-binding domain (MBD) family, zinc finger proteins and UHRF (Ubiquitin-like with PHD and Ring finger) proteins. The MBD family proteins directly bind to the methylated DNA and recruit various repressor complexes: MBD1 that interacts with SETDB1 (SET domain bifurcated histone lysine methyltransferase 1) and of MBD2 interacting with NuRD corepressor complex (Nan et

TABLE 2

DNA METHYLTRANSFERASES (DNMTs) AND ASSOCIATED FUNCTIONS

DNMTs	Function	Associated anomalies	Reference
DNMT1	Maintenance of DNA methylation	Neuropathy, Cerebellar ataxia, Deafness, Gastric cancer, Hepatocellular carcinoma, Pancreatic cancer, Colon cancer	Robert et al., 2003; Reviewed in Subramaniam et al., 2014
DNMT2	DNA and RNA methylation	Hepatocellular carcinoma, Colorectal carcinoma	Reviewed in Subramaniam et al., 2014
DNMT3A	De novo methylation of DNA	Acute myeloid leukemia, Tatton-Brown-syndrome, Rahman syndrome, Gastric cancer, Hepatocellular carcinoma, Pancreatic cancer	Okano et al., 1999; Reviewed in Subramaniam et al., 2014; Kaneda et al., 2004
DNMT3B	De novo methylation of DNA	Facioscapulohumeral Muscular Dystrophy 2, Immunodeficiency-centromeric instability-facial (ICF) anomalies syndrome 1, Gastric cancer, Breast cancer, Colon cancer	Okano et al., 1999; Reviewed in Subramaniam et al., 2014
DNMT3L	Regulates the activity of DNMT3a and DNMT3b	Taylor's syndrome, Cervical cancer, Embryonal carcinoma	Gowher et al., 2005; Reviewed in Subramaniam et al., 2014

et al., 1993). MeCP2 (Methyl-CpG binding protein), another MBD containing protein, associates with the complex consisting of SIN3 transcription factor (SIN3A) and histone deacetylases, leading to inactive chromatin state (Laherty *et al.*, 1997). The Zinc finger protein Kaiso and ZBTB4 (Zinc finger and BTB domain containing protein 4) binds to methylated DNA leading to transcriptional repression. Unlike these two proteins, the UHRF proteins play a key role in DNMT1 mediated maintenance of DNA methylation. UHRF has a unique SET and Ring finger domain that recognizes hemi-methylated DNA and recruits DNMT1 to the hemi-methylated DNA during replication (Hashimoto *et al.*, 2008; 2009). ESCs lacking DNMT3A and 3B are completely devoid of DNA methylation which does not affect pluripotency, but inhibits differentiation (Jackson *et al.*, 2004). On initiation of differentiation of the ESCs, the genes associated with pluripotency including Nanog and Oct4 are silenced by promoter hyper-methylation. DNMT1, 3A or 3B null mice die early either as embryos or soon after birth owing to impaired methylation pattern (Li *et al.*, 1992; Okano *et al.*, 1999). These three enzymes have high expression in the brain tissue and their depletion results in various neuronal anomalies. A conditional depletion of DNMT1 in the mouse embryonic neuronal precursor cells causes hypomethylation in neuronal tissues leading to impaired activity (Fan *et al.*, 2001).

DNA methylation is indispensable for dosage compensation of X linked genes in mammalian females and differs significantly between the active and the inactive X chromosomes (Cotton *et al.*, 2015). DNA methylation is an important player in the parental specific gene expression or genomic imprinting where one of the alleles is inactive. Thus, DNA methylation has an important role in

successful completion of development not only through imprinting but also through regulating other processes during development.

Dynamic changes in DNA methylome during development

One of the attractive features of DNA methylation as an epigenetic mark is the replication associated reversibility of the process, brought about by pausing *de-novo* methylation. More recently, the enzymatic demethylation was discovered where the TET protein (Ten Eleven Translocation protein) oxidizes 5mC, and unmodified cytosine is replaced through DNA repair pathway (Tahiliani *et al.*, 2009). The three mammalian Tet proteins (Tet 1, 2 and 3) catalyze a step-wise oxidation of 5mC. The cys-rich domain and the DSHB domain of Tet proteins are responsible for the methylcytosine dioxygenase activity. The CXXC domain of Tet1 and Tet3 binds to CpG islands. During the process of oxidation of 5mC, 5 hydroxymethylcytosine (5hmC) is formed as an intermediate which is also established as an epigenetic mark. A neonatal lethal phenotype is observed in mouse in the absence of the 5hmC mark and Tet3 enzyme. Thus, 5mC/5hmC switch is essential in regulating the organismal development (Gu *et al.*, 2011). 5mC is associated with closed chromatin (Chouliaras *et al.*, 2012) whereas, the 5hmC is preferentially found in euchromatic regions and is enriched at enhancers, promoters, and the gene body, suggesting its role in transcription activation in mouse (Song *et al.*, 2011; Nestor *et al.*, 2012). In human and mouse, the ESCs have higher levels of 5hmC than the differentiating cells. The reduced 5hmC levels, correlate with the modulation in gene expression during transition from pluripotency to differentiation (Ficz *et al.*, 2011; Ko *et al.*, 2011).

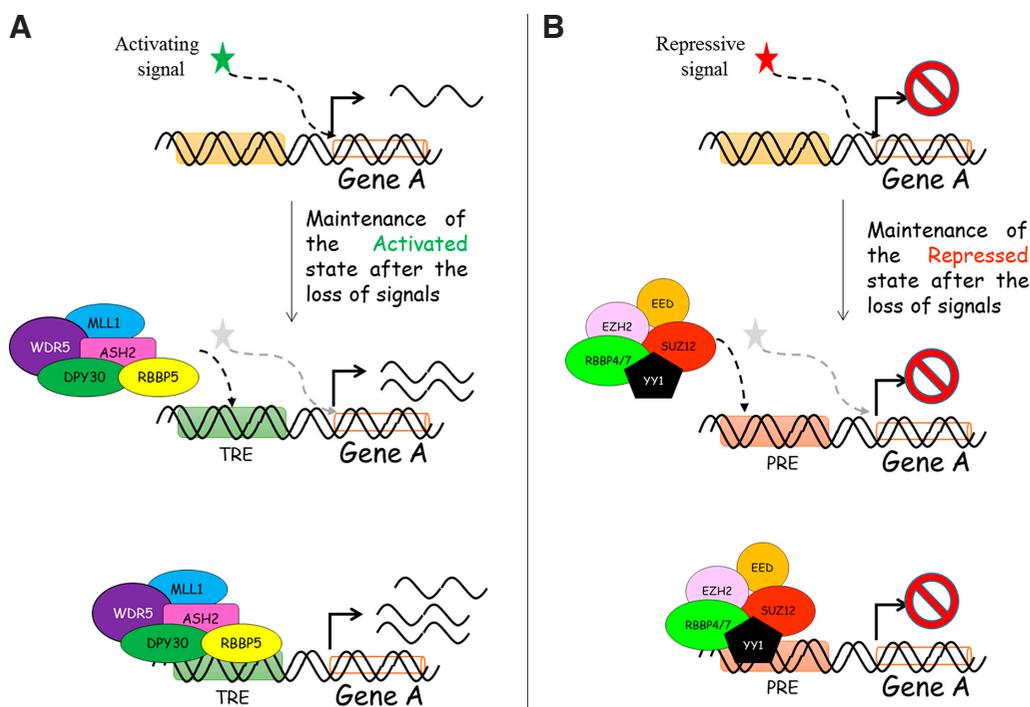


Fig. 1. Function of cellular memory modules. The core complex for activation, the trithorax complex (A) and the repressive polycomb complex (B) are shown. The initial signals are transient in nature, their loss may revert the transcriptional state of the gene. The cellular memory modules maintain the activated or the silenced state by changing the chromatin environment.

Similarly, in the differentiating somatic cells, change in the levels of 5hmC is observed during lineage commitment (Bocker *et al.*, 2012). In mice, the level of 5hmC is abundant in the brain tissues and is found to be essential for the spatial and temporal control of neural differentiation (Orr *et al.*, 2012; Song *et al.*, 2011). MeCP2, which is also abundant in the brain, reads 5hmC as it does 5mC. One of the mutations in the MeCP2 gene, implicated in Rett syndrome, a neurodevelopmental disorder, disrupts the binding of MeCP2 with 5hmC, but not with 5mC, underscoring the significance of 5hmC and the DNA demethylation machinery in development (Mellen *et al.*, 2012).

During development, the methylation pattern is reprogrammed in germ cell precursors. Post-fertilization, the gametic methylation (except those of imprinted loci) is erased followed by re-initiation of the tissue specific methylation

pattern in the implanted embryos. Earlier studies utilized the *c-fos* gene as a probe to investigate the dynamic changes in methylation pattern during development (Uehara *et al.*, 1989). It was found that the *c-fos* gene is devoid of DNA methylation at the 5' end that includes part of the regulatory region and the 1st exon. However, the 3' end of the gene displays tissue specific as well as age specific dynamicity in DNA methylation (Uehara *et al.*, 1989). Raman and his group furthered these studies to understand the changes in methylation pattern in the coding region of *c-fos* locus in mouse liver during development. Their study revealed that the perinatal methylation in the *c-fos* locus is directional, initiated at the 3' end of the gene, it proceeds gradually towards 5' end leading to the methylation of the various CpG sites in a stepwise manner through development and is influenced by the CpG spacing in the gene (Chandrashekar and Raman, 1997; Sachan and Raman, 2008). The regulation of *c-fos* is very important, as its mis-regulation in mouse liver leads to premalignant transformations (Bakiri *et al.*, 2017).

In fragile X syndrome, disease manifestation is strongly associated with DNA methylation. The major molecular etiology of this syndrome is the expansion of (CGG)_n triplet repeat in the 5' untranslated region of FMR1 gene followed by methylation of the upstream regulatory sequence leading to transcription inhibition (Kraan *et al.*, 2019). Therefore, repeat expansion without DNA methylation would not lead to fragile X syndrome, while DNA methylation without (CGG)_n expansion can lead to the disease. Using transgenic mice, we have shown that DNA methylation occurs with or without repeat expansion (Alam *et al.*, 2010). Therefore, DNA methylation and repeat expansion are independent events. These transgenic mice contained a part of the 5'UTR of human FMR1 gene with (CGG)₂₆ which showed repeat expansion at a high frequency in several transgenic lines (Baskaran *et al.*, 2002). There are reports of lack of methylation in two clinically normal brothers with (CGG)_n expansion (Smeets *et al.*, 1995). Therefore, evaluation of DNA methylation status at FMR1 locus in unclassified mental retardation patients is necessary.

In addition to cytosine methylation, the role of N6-methyl deoxy adenosine is being increasingly explored. The data comes from *C. elegans* and *Drosophila*. In *Drosophila*, 6mA is associated with actively transcribed genes. Its level is controlled by 6mA demethylase (DMAD) (Zhang *et al.*, 2015). By knock-down of 6mA demethylase, they showed that 6mA levels are controlled by active demethylation at later stages of embryonic development which is important for the completion of normal development and tissue homeostasis. Recent report confirms the presence of 6mA in the human genome and its distribution is correlated with active genes (Xiao *et al.*, 2018). In fungi, there is evidence to show an inverse correlation between genomic distribution of 5mC and 6mA (Mondo *et al.*, 2017).

A study by Greer *et al.* (2015) identified 6mA methylation along with its DNA methyltransferase and demethylase in *C. elegans* and demonstrated its role in trans-generational epigenetic inheritance. They demonstrated a cross talk between active histone methylation and 6mA using fertility mutants of *C. elegans*.

DNA methylation does not regulate the chromatin function in isolation. The cross-talk between DNA methylation and histone modification is well known and the two processes cooperate closely (Rose and Klose, 2014). The indirect interaction of methylated DNA with HDACs throws light on the mechanisms that link DNA methylation with histone modification. The recruitment of SETDB1, to the methylated DNA, indicates the cross-talk between DNA methylation

and histone modification in the formation of heterochromatin (Reviewed in Du *et al.*, 2015). MeCP2 is associated with histone methyltransferase (Suv39h1/2), that modifies histone H3 at lysine 9 (H3K9me) (Fuks *et al.*, 2003). Thus, the chromatin modifiers, writers involved in modifying the histones, readers that respond to histone modifications and the erasers that remove the histone modifications are essential for the regulation of open/active or closed/repressed chromatin architecture.

Differential bookmarking of histones at transcriptionally active, repressed and poised loci

The post-translational modification of histones H2A, H2B, H3 and H4 adds an important dimension to epigenetic control of gene expression. The male and female gametes differ in the patterns of epigenetic marks. Soon after fertilization, the protamines in sperm chromatin are replaced with histones and the gametic epigenetic pattern is remodeled to allow the transition from maternal to zygotic control of genome activation (ZGA) which is followed by the expression of developmental genes (Shao *et al.*, 2008). The reprogramming events during ZGA is controlled by the maternally derived factors including histone modifying enzymes that guide the chromatin environment to ensure the proper cell fate maintenance and differentiation through the developmental time line (Bultman *et al.*, 2006). Acetylation of histones is associated with the activation whereas the histone methylation guides either activation or repression of transcription depending upon the site of modification. The repressive state is marked by H3K27me3 whereas H3K4me1/2/3 and H3K27ac mark active state of gene expression. Methylation of lysine residues (mono-, di-, and tri-methylations abbreviated as me1, me2 and me3 respectively) is regulated by the methyltransferases that contain the characteristic SET domain (130-140 amino acid domain initially identified in *Drosophila* Su(var)3-9, Enhancer-of-zeste and Trithorax). S-adenosyl-L-methionine (SAM) acts as the cofactor in this reaction. However, DOT1L (DOT1-like) does not contain SET domain but has methyltransferase activity, methylating histone H3K79 (Feng *et al.*, 2002). It is conserved from yeast to humans and is correlated with negative regulation of transcription in yeast, while in the human genome regions of high elongation rate are associated with H3K79 methylation (Vlaming and Leeuwen, 2016).

There are other well-known histone modifications such as ubiquitinylation, phosphorylation, biotinylation, ribosylation and citrullination. A number of amino acid residues on the N-terminal tails of histone proteins are modified. Some of the commonly modified residues are lysine (methylated/acetylated), arginine (methylated), serine (phosphorylated), threonine (phosphorylated), tyrosine (phosphorylated). The nature of modification and the residue modified dictates the state of transcription; either activation or silencing.

Repressed chromatin state is maintained by Polycomb group of proteins

The Polycomb group (PcG) proteins were discovered as regulators of homeotic genes in *Drosophila melanogaster* (Lewis, 1978; Struhl, 1981). The PcG proteins are responsible for the maintenance of closed chromatin architecture and are mainly grouped into two main complexes: PRC1 and PRC2 (Table S1 and Fig.2).

The PcG proteins are widely expressed in the *Drosophila* embryo and their function is well defined both spatially and temporally

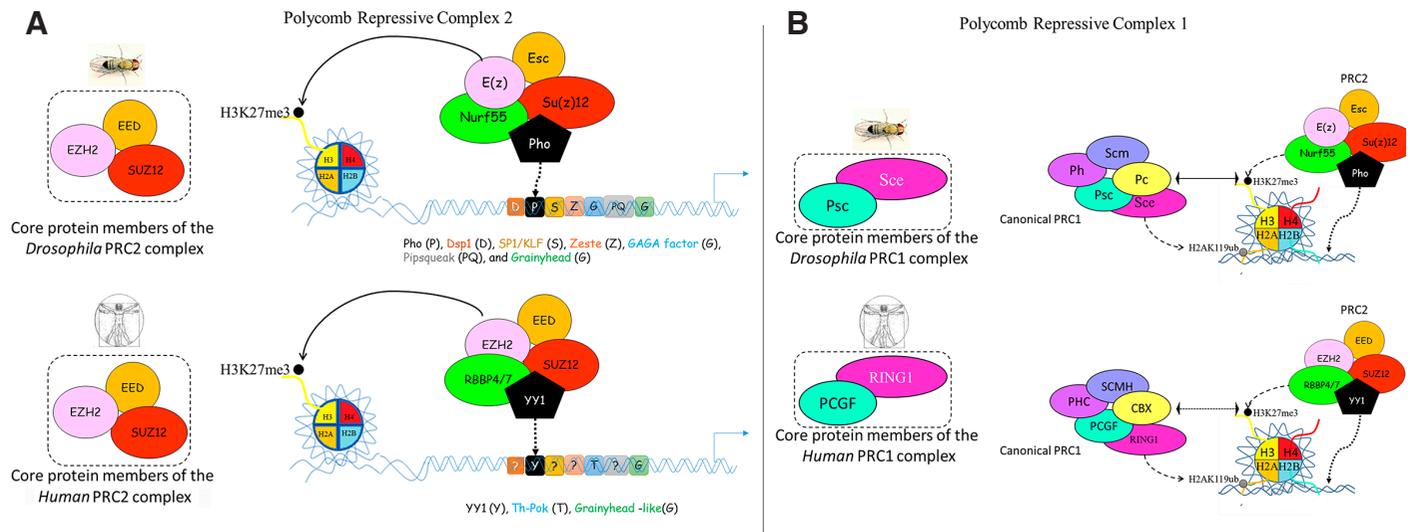


Fig. 2. Conservation of polycomb machinery between *Drosophila* and Humans. The PRC2 complex is recruited to the PRE with the help of transcription factors (*Pho*, *Dsp1*, *SP1/KLF*, *Zeste*, *Trl/GAGA* factor, *Pipsqueak* and *Grainyhead* in *Drosophila* and *YY1*, *Grainyhead* and *Th-Pok* in Humans). PRC2 complex brings about H3K27me3 via the SET domain in *E(z)* (*EZH2* in human). The H3K27me3 mark is identified by the PRC1 complex and the *RING1A/B* protein from the same complex catalyze the H2A119Ub. These two epigenetic marks are repressive in nature and lead to chromatin compaction.

(Mahmoudi and Verrijzer, 2001). The repression of homeotic genes at the early stages of development is under the control of the segmentation genes that act as negative regulators. It is only after the blastoderm stage that PcG-mediated repression of homeotic genes takes place (Struhl and Akam, 1985). This repression is mitotically stable and the misexpression of PcG proteins in *Drosophila* leads to homeotic transformations.

The PRC1 complex is mainly responsible for the ubiquitination of H2AK119, mediated by RING1A/B protein (Fig. 2; Wang *et al.*, 2004). Along with the canonical PRC1 (cPRC1) complex (Table S1), several non-canonical PRC1 (ncPRC1) complexes exist in mammals, one of the ncPRC1 contains RYBP (Ring1 and YY1 binding protein) instead of CBX (Chromobox; binds to H3K27me3) of cPRC1 (Gao *et al.*, 2012). Unlike the ubiquitination activity of PRC1, another PcG complex, Polycomb repressive deubiquitinase complex (PR: DUB) has H2A specific deubiquitinase activity, suggesting a tight control of dynamic deposition and removal of H2AK119ub mark is required for the PcG mediated transcriptional silencing (Table S1, Scheuermann *et al.*, 2010).

The EZH2 protein in the PRC2 complex contains the SET domain which brings about H3K27 methylation (H3K27me3). EZH1/2 alone has very low-level of histone methyltransferase activity which increases to several fold when it is coupled with other core components of the PRC2 complex (Table S1) (Cao *et al.*, 2002; Cao and Zhang, 2004; Reviewed in Schuettengruber *et al.*, 2017).

The recruitment of these proteins to chromatin requires *cis*-acting DNA elements, Polycomb Responsive Elements (PREs) (discussed later in this review) and several *trans*-acting factors that include sequence specific DNA binding proteins and transcription factors. The PRC1 and 2 complexes show inter-dependence in certain cases, where CBX proteins recruit PRC1 complex to the H3K27me3 sites, while PRC2 recruitment to the PRC1 induced H2AK119ub marks is aided by AEBP2 and JARID2, both being DNA-binding transcription repressors (Wang *et al.*, 2004; Cooper *et al.*, 2014). However, the two complexes are also known to be recruited independently (Kahn *et al.*, 2016). RYBP containing

PRC1 complexes are recruited to target loci even in the PRC2-deficient mESCs, bringing about Polycomb silencing (Tavares *et al.*, 2012). In *Drosophila*, stalled promoter of some of the coding and non-coding genes recruit PRC1 but not the PRC2 complex (Enderle *et al.*, 2011).

The absence of PRC2 components, SUZ12, EZH2, EED or PRC1 component RING1A/B, leads to embryonic lethality in mouse whereas mutants for CBX2 or CBX4 show postnatal lethality (Baumann and Fuente, 2011). PcG proteins are abundantly present in ESCs and regulate pluripotency and also direct their differentiation. The CBX protein, CBX7 is abundant in pluripotent stem cells whereas the differentiating cells are enriched with CBX2 and 4. A failure to switch the CBX7 proteins with CBX2 or 4 leads to over-proliferation in hematopoietic stem cells culminating in leukemic condition (Klauke *et al.*, 2013). These studies suggest that different PRC1 complexes with diverse CBX proteins are targeted to distinct sites to regulate stem cell maintenance and differentiation.

Along with the differential deposition of H3K27me3, which is guided by the recruiters of polycomb complex, the reprogramming event utilizes specific demethylases namely the UTX/KDM6A, UTY/KDM6C and JMJD3/KDM6B. The other demethylases such as the PHF subfamily proteins containing JmjC domain, PHF8 and KIAA1718/KDM7A (which additionally contains PHD) are specific for H3K27me2 (Agger *et al.*, 2007). KDM7A identifies the H3K27me2 on the nucleosomes containing the H3K4me3 mark as well (Reviewed in Hyun *et al.*, 2017). Thus, the demethylases help in the modulation of histone marks across the genome, affecting the transcription repertoire to regulate stem cell differentiation and cell fate specification in mouse.

The depletion of polycomb group proteins inhibits the process of dedifferentiation whereas the down regulation of their respective demethylases promotes mesenchymal to epithelial transition (MET) leading to the generation of induced pluripotent stem cells (iPSCs) (Onder *et al.*, 2012 and Mansour *et al.*, 2012). Shrivanti Rampalli and her group detailed the role of *Ezh2* in MET in the mouse fibroblast cells. TGF β pathway is a major inducer of Epithe-

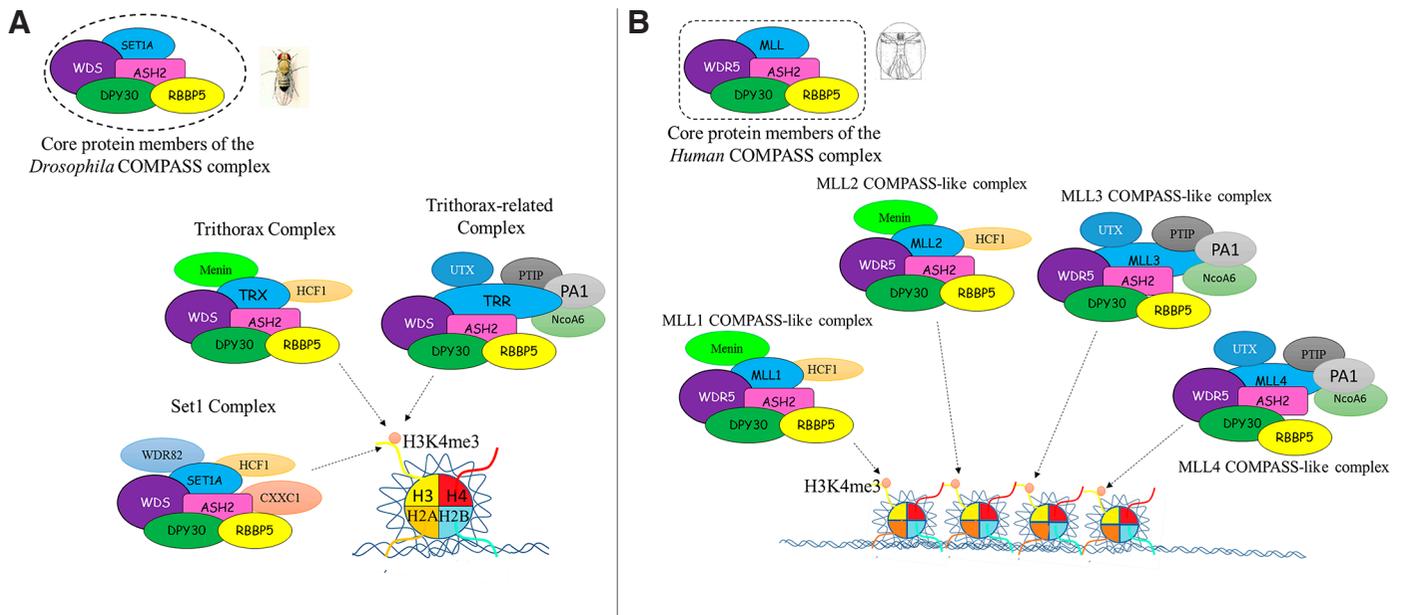


Fig. 3. Conservation of trithorax machinery between *Drosophila* and Humans. The trithorax complexes in *Drosophila* are divided on the basis of the SET domain containing proteins [SET1A, TRX (Trithorax) and TRR (Trithorax-related)], that bring about H3K4me3, as well as the accessory proteins (HCF1, PA1, NCoA6, PTIP, CXXC1). Similar classification is made for the Human COMPASS complexes on the basis of enzyme [MLL1, 2, 3 and 4] and accessory proteins. The H3K4me3 is an active mark that brings about transcriptional activation.

lial to Mesenchymal Transition (EMT). Over-expression of Ezh2 reduced the expression of TGF β receptor whereas down regulation of Ezh2 enhanced the expression. In a cascade of events, the down regulation of Ezh2 activates TGF β pathway and subsequently activates the mesenchymal transcription factor, Snail. This suggests that Ezh2 regulates EMT by repressing the TGF β pathway epigenetically. Using H3K27me3 inhibitor, GSK-126, it was confirmed that Ezh2 mediated H3K27me3 activity down regulates the TGF β signaling and promotes MET during iPSC generation (Rao *et al.*, 2015). The effects of epigenetic modifiers and their respective modifications upon various developmental pathways have been studied in detail and reviewed elsewhere (Liedtke and Cleary, 2009; Mishra *et al.*, 2012; Zhou *et al.*, 2018).

Trithorax group proteins and transcriptional activation

The maintenance of active gene expression is a complex process requiring a variety of factors. Thus, Trithorax Group (TrxG) proteins include functionally diverse proteins having DNA binding, histone modifying and chromatin remodeling activity (Fig. 3). These complexes bring about the maintenance of the activated state of the target genes (Kingston and Tamkun, 2014).

Among the Trithorax group of proteins, the multimeric -SET1 or COMPASS complex, is responsible for H3K4 methylation (mono, di and tri methylation; Table S1 and Fig. 3). In mammals, there are 6 SET1-like complexes; SET1A, 1B; MLL1, 2, 3 and MLL4, whereas, in *Drosophila*, 3 complexes are known, Set1, Trx and TRR (Table S1; Shilatifard *et al.*, 2008; Ardehali *et al.*, 2011). Each of these Set1 homologs interact with a core complex abbreviated as WRAD consisting of four proteins (WDR5, RbBP5, ASH2L and DPY30) along with several accessory proteins which provide stability and specificity to the complex and enhance the methyltransferase activity of SET1 proteins (Table S1; Takahashi

et al., 2011; Ernst and Vakoc, 2012, Reviewed in Schuettengruber *et al.*, 2017). The different SET1 complexes interact with diverse transcription factors and catalyze H3K4 methylation at distinct loci. SET1A/B leads to trimethylation at H3K4, mainly at promoters of the active genes while MLL1 deposits H3K4me3 at a small subset of genes including *Hox* genes (Guenther *et al.*, 2005) and H3K4me2 at PREs (Rickels *et al.*, 2016). MLL2 directs H3K4me2 at the bivalent promoters in ESCs (Denissov *et al.*, 2014) and UTX in MLL3/4 complexes can remove the repressive H3K27me3 marks, followed by MLL3/4 mediated deposition of H3K4me1 at enhancers (Agger *et al.*, 2007; Shinsky *et al.*, 2015). The H3K4me3 methylation also mark the imprinted loci by differential distribution, depending upon parental origin. These marks were found to be associated with the transcriptionally active loci (Zhang *et al.*, 2016).

The recruitment of Trx complexes to the chromatin is also mediated by some of the transcription factors involved in recruiting PcG proteins. In addition, the active transcriptional status of chromatin itself promotes the recruitment of Ash1 (having SET and Bromodomain and H3K36 methyltransferase activity) and Brm (helicase and Bromodomain containing protein) (Dejardin and Cavalli, 2004). CXXC domain in MLL1/2 and CFP1 proteins can recruit the Trx complex to CpG islands (Ayton *et al.*, 2004; Thomson *et al.*, 2010). WDR5 (WD40 repeat containing protein) can bind to H3K4 irrespective of its methylation status and can recruit TrxG proteins. H3K4me3 and H3K36me3 marks deposited by Trx complex inhibit the PRC2 activity on the associated histones (Yuan *et al.*, 2011; Schmitges *et al.*, 2011). Moreover, acetylation activity of CBP in conjunction with Trx at H3K27 also prevents PRC2 mediated methylation (Tie *et al.*, 2009).

In *Drosophila*, the TrxG proteins act antagonistically to the polycomb mediated repressive activity and regulate the expression of many developmental genes including the *Hox* genes (Kennison

and Tamkun, 1988). *Drosophila* embryos with Ash1 and Trx mutations exhibit mis-expression of Hox genes that is reversed when the PcG mutations were brought in combination (Klymenko and Müller, 2004).

TrxG proteins regulate pluripotency and differentiation in ESCs. WDR5 in combination with Oct4 regulates H3K4me3 deposition on genes involved in stem cell self-renewal and somatic cell reprogramming (Ang *et al.*, 2011). Similarly, ASH2L is known to regulate the stem cell pluripotency (Wan *et al.*, 2013) whereas DPY30 (protein homodimerization activity) regulates ESC differentiation (Jiang *et al.*, 2011). MLL deficient mouse die at embryonic stage, however the hypomorphs exhibit homeotic transformation of axial skeleton and anomalies in haematopoiesis (Yu *et al.*, 1995). BRM/BRG1 regulates transcription of genes involved in early embryonic development by regulating zygotic control of gene activation along with proliferation and differentiation of neurons and hematocytes (Bultman *et al.*, 2000; 2005; 2006).

These histone marks are read by various proteins that trigger the downstream process of gene regulation. The H3K4me2 and H3K4me3 are recognized by CHD1 (Chromodomain Helicase DNA Binding Protein 1) which is also important for maintaining open chromatin structure in the pluripotent stem cells (Sims *et al.*, 2005). BPTF (bromodomain PHD finger transcription factor), a subunit of the NURF (Nucleosome Remodeling Factor) complex recognizes H3K4me3 via its PHD domain. Both CHD1 and NURF remodel nucleosome in ATP dependent manner. Several other histone modifying enzymes like SAGA (Spt-Ada-Gcn5 acetyltransferase) complex recognize the H3K4 methylation and acetylate the nearby histones (Bian *et al.*, 2011).

The dynamic transcriptional network controlling embryonic development requires extensive reprogramming of the histone methylation marks. Lysine specific demethylase 1 (LSD1/KDM1A) (Shi *et al.*, 2004) and LSD2/KDM1B, demethylate H3K4me1 and H3K4me2 (Fang *et al.*, 2013); jumonji AT rich interactive domain 1 (JARID1A/KDM5A), JARID1B/KDM5B, JARID1C/KDM5C, JARID1D/KDM5D specifically demethylate H3K4me2 and H3K4me3 whereas JmjC domain containing protein NO66/MAPJD can demethylate all the three states of methylation of H3K4. LSD1 is derived maternally in mouse embryos and is implicated in ZGA. Its absence in zygote leads to early lethality (Ancelin *et al.*, 2016).

The genomic loci with activating or repressive marks demonstrate either “on” or “off” state of transcription, respectively. However, various sites are also marked by both activating as well as repressing marks and thus, create bivalent domains, leading to poised state of chromatin. In ESCs, the bivalent promoters maintain the pluripotency by repressing the genes involved in differentiation (Azuara *et al.*, 2006; Bernstein *et al.*, 2006). The rapid-fine tuning of various developmental genes are required specially when the transcriptional switches are highly dynamic and hence, in such a context, the bivalent domains provide greater flexibility in terms of timely activation of the downstream targets. The KDM6A and KDM6B demethylate H3K27me3 while KDM5A acts upon H3K4me3 at bivalent promoters and regulates ESC maintenance or differentiation as required (Dahle *et al.*, 2010; Schmitz *et al.*, 2011; Dhar *et al.*, 2016).

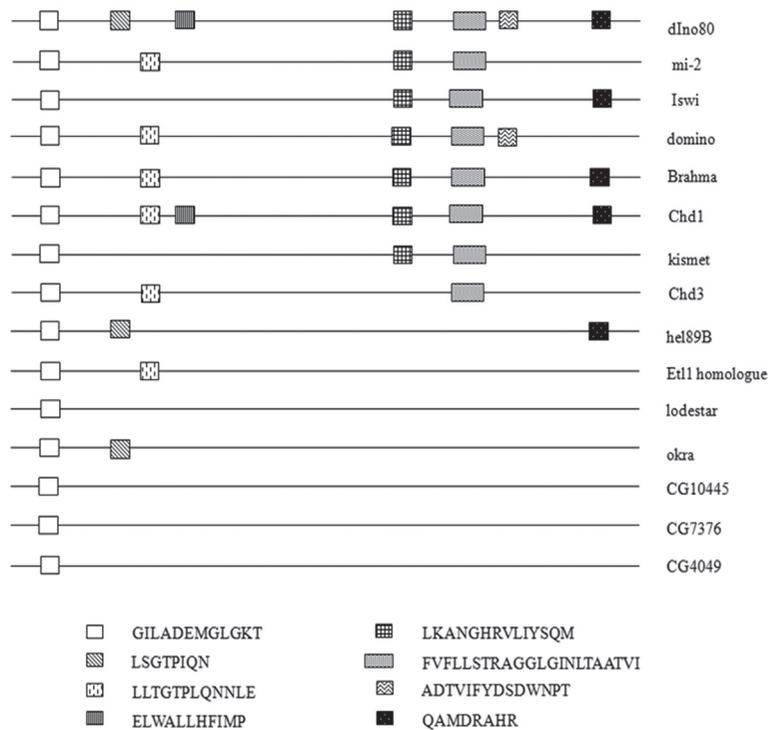


Fig. 4. The domain sharing pattern of different members of the SNF2 ATPase family. The common motif shared is the 14mer peptide signature (unfilled box). The additional signature peptides shared between helicase domains of INO80 and members of SNF2 family previously characterised as PcG/TrxG proteins. (Jain *et al.*, 2017).

Histone acetylation leads to relaxed chromatin state

Histone acetylation brought about by histone acetylases (HATs) relax the chromatin and thus, maintain an active chromatin state. On the basis of structural and functional similarity among the HATs, they have been classified into five subfamilies: the HAT1/KAT1; Gcn5/PCAF or KAT2A/KAT2b; MYST; p300 and CBP or KAT3B and KAT3A identified in metazoans only and a fifth fungal specific class Rtt109. Besides this, there are HATs that are less studied subfamilies. The lysine moieties on histone tails; H2A (K5), H2B (K12, K15), H3 (K9, K14, K18, K36, K56), and H4 (K5, K8, K12, K16) are modified by HATs which ultimately leads to permissive chromatin state and facilitate the DNA binding proteins to interact with exposed DNA (reviewed in Marmorstein and Zhou, 2014). The histone acetylation is read by bromodomain containing chromatin remodelers that modulate the gene expression. HAT1 knockout mice are born with lung and skeletal defects, they lack acetylation on newly synthesized H3 and H4 and die soon after birth (Nagarajan *et al.*, 2013). The acetylation on histone is reversed by histone deacetylase (HDAC) that couple with other repressor complexes to generate repressive chromatin state (reviewed in Marmorstein and Zhou, 2014).

Repressive histone mark H3K9me3 tags heterochromatin

The tri-methylation at H3K9 is an established indicator of heterochromatin and is bound by Heterochromatin protein 1 (HP1) which recruits other epigenetic modifiers contributing to further chromatin

compaction (Bannister *et al.*, 2001). H3K9 methylation is brought about by SET domain containing H3K9 methyltransferases. SETDB1 leads to H3K9me1 modification at the pericentromeric region that acts as a substrate for SUV39H1/2 which catalyzes H3K9 di- and trimethylation within constitutive heterochromatin domain. In euchromatic regions G9a and GLP (G9a-GLP) deposit H3K9me1 and me2, which has repressive role in gene expression (Brower-Toland *et al.*, 2009). The gametic H3K9me3 and thus, heterochromatin domain is extensively reorganized after fertilization. In early mouse embryos, Chromatin assembly factor 1A (Chaf1a) leads to the enrichment of LTRs with H3K9me3 resulting in silencing (Wang *et al.*, 2018). The levels of H3K9me3 is depleted around promoters after fertilization that allows proper zygotic gene activation (ZGA). During differentiation, H3K9me3 marks the genes to be repressed for the accurate cell fate determination (Becker *et al.*, 2016). The genomic regions enriched with H3K9me3 are distinct from those enriched with H3K27me3, despite both being repressive in nature; H3K9me3 is enriched in gene poor region whereas H3K27me3 in gene rich region (Pauler *et al.*, 2009). In contrast, there are reports of co-occurrence of the H3K9me3 and H3K27me3 at transposable elements mediated by Ez11. Ez11 (Enhancer of Zeste like protein) possess methyltransferase activity utilizing both H3K9 and H3K27 as substrate (Frapporti *et al.*, 2019).

Mining novel epigenetic regulators from the genome sequence

The identification of PcG and TrxG members in *Drosophila* has been mainly based on mutation screens and phenotypic assessment. In higher organisms including humans, the identification is homology based. The already known versatility of these complexes is currently much expanded both in terms of the protein partners in the complexes as well as the *cis*-elements where these complexes are recruited. As discussed in the earlier section, the involvement of the Polycomb and Trithorax Group (PcG/TrxG) of proteins in several disease processes, directly or as modifiers of the phenotype, has increased the relevance of identification of novel members of these global regulatory complexes.

The *de novo* identification and analysis for components of Polycomb and Trithorax complexes from the human genome will facilitate the identification of novel genes. However, homology based search may not be effective in defining the cellular function of the proteins and hence, the genes (Jain *et al.*, 2017). In *Drosophila*, PcG and TrxG genes were identified based on the homeotic transformations seen in mutants of the genes (reviewed by Kassis *et al.*, 2017). Subsequently, the genetic interactions were used to identify new members of polycomb, trithorax and the ETP proteins (Enhancer of Polycomb and Trithorax proteins), which interact with

both the Polycomb and Trithorax complex. Therefore, the members of these groups are not identified by a common biochemical function.

In an attempt to address this issue, we searched for a signature sequence using the L-HOST software (Jain *et al.*, 2017). L-HOST generates peptide library for the query proteins and detects identical peptides shared between two or more proteins. We used L-HOST to search for identical peptides shared between different Polycomb and Trithorax proteins and identified a 14mer peptide (NGILADEMGLGKTI), shared between Iswi and Brahma of which the 12mer was highly conserved and found in several members of SNF2 family of chromatin remodeling proteins (Fig. 4; Jain *et al.*, 2017). The search for this peptide in the non-redundant human proteome led to the identification of the hINO80 (Bakshi *et al.*, 2004, Jain *et al.*, 2017). In addition to the 14mer peptide, many other peptide motifs are shared between chromatin remodelers of the Polycomb and Trithorax group (Jain *et al.*, 2017). The analysis of the sequence and biochemical function of hINO80 mapping on chromosome 15 was carried out (Bakshi *et al.*, 2004, 2006).

By multiple alignment of the INO80 protein from different organisms, a highly conserved sequence in all members of this family located near the N-terminus, upstream of the SNF2 helicase domain was detected (Fig. 5A; Bakshi *et al.*, 2004).

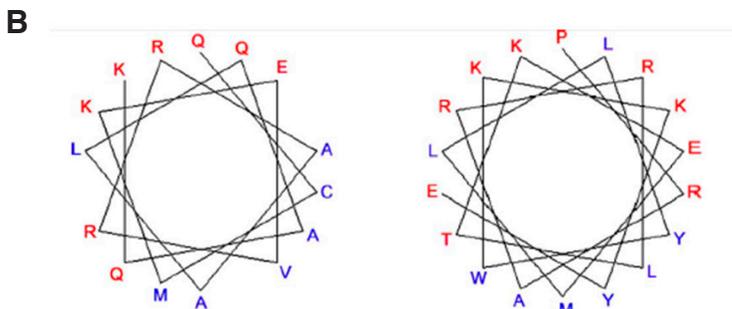
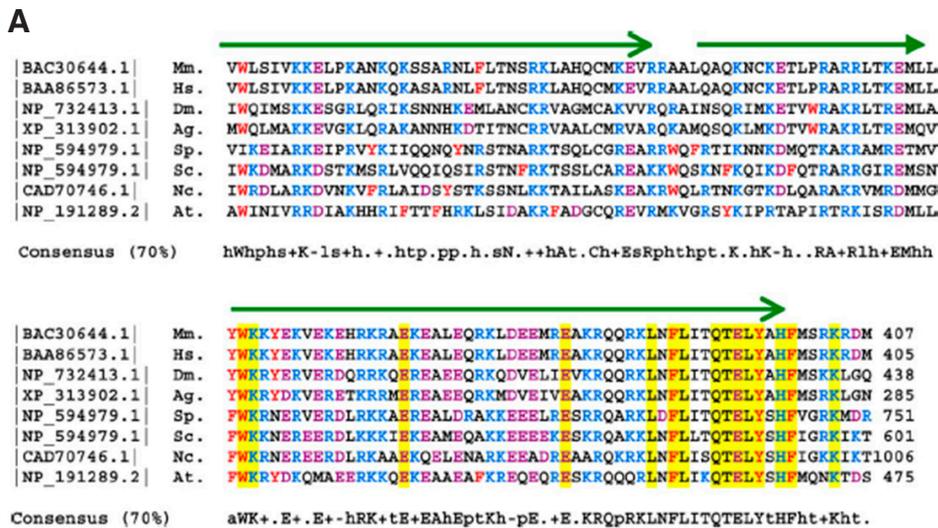


Fig. 5. The conservation of the DBINO domain in INO80 homologues. The charged residues in the α -helix (marked in colour) form the amphipathic helix in a helical wheel representation. (Bakshi *et al.*, 2004).

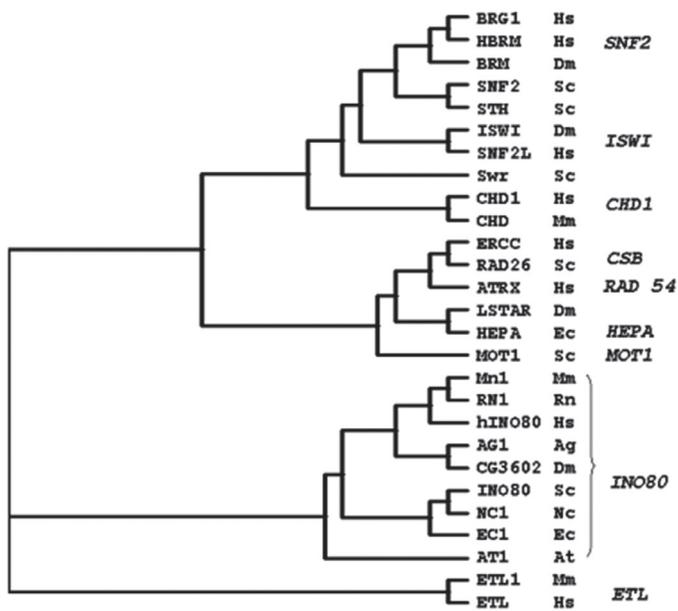
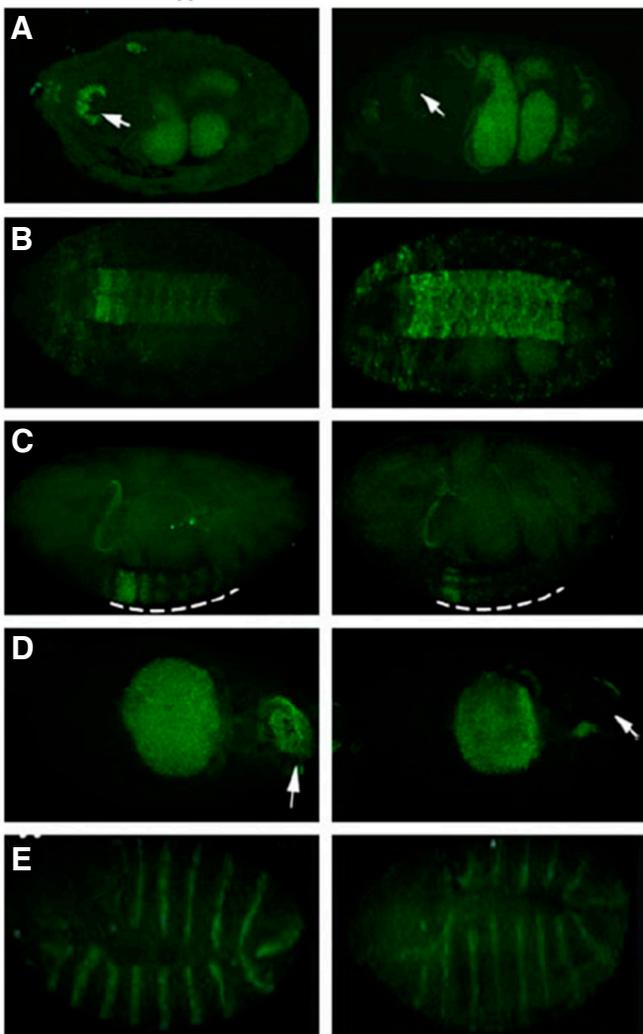


Fig. 6 (above). Phylogenetic tree for Ino80. The Ino80 proteins segregate into a separate sub-family. (Bakshi *et al.*, 2004).



E value range $6e-47$ to $3e-13$). The similarity scores drastically decreased beyond this stretch both on the N terminal and the C terminal end. The most significant feature of this domain designated DBINO domain (DNA Binding domain of INO80), is the occurrence of the positive amino acids arginine and lysine in tandem (RK/KR), in multiple positions, which are likely to bind DNA. Such motifs are also found in DNA binding proteins like chromosomal proteins D1 and HMG-1, mediating their interaction at A-T rich regions by contacts in the minor groove of DNA (Churchill *et al.*, 1991; Ashley, *et al.*, 1989). We predicted the secondary structure of the domain using various softwares such as NNPREDICT (Churchill *et al.*, 1991), SOPMA (Ashley *et al.*, 1989) and JPREP (Cuff *et al.*, 1998). The predicted alpha helical segments are marked in Fig. 5B. Hydrophathy analysis of the alpha helical segments identified three alpha helices as amphipathic wherein they expose hydrophilic side chains on one side of the helix and hydrophobic side chains on the opposite side. INO80 does not contain SANT or the Bromo domain. Based on these sequence features INO80-subfamily under the SNF2 super family was recognized (Fig. 6. Bakshi *et al.*, 2004).

The first well characterized homologue is the yeast INO80 and its complex, identified in a screen for regulators of phospholipid biosynthesis (Shen *et al.*, 2000). This INO80 complex is largely conserved in *Drosophila* and human (Klymenko *et al.*, 2006 and Chen *et al.*, 2011) and is considered as the canonical complex. The INO80 family functions in a diverse array of cellular processes, including DNA repair, cell cycle checkpoint, and telomere stability (Morrison and Shen, 2009). However, in the present context, we discuss the role of INO80 specifically in development.

The shared peptide identity between INO80, Brahma and ISWI, led us to examine if INO80 is involved in development. In *Drosophila*, Ino80 is essential for completion of development, null mutants of *dIno80* do not proceed beyond late embryonic stage (Bhatia *et al.*, 2010). We observed mis-expression of homeotic genes in *Ino80* null mutants (Fig. 7). The genetic interaction studies from our group showed that Ino80 interacts with PcG as well as TrxG members (Fig. 8). Therefore, *dIno80* was classified as an Enhancer of Polycomb and Trithorax protein (ETP). One of the attributes of an ETP protein is that it can act as a positive regulator of transcription through its interaction with Trithorax proteins/TRX complex or as a repressor through interaction with Polycomb proteins/PRC complex. It was demonstrated that *dIno80* in fact acts as a positive regulator for Scr in the wing imaginal disc while it acts as a repressor for Scr in leg and salivary gland imaginal disc (Fig. 9, Ghasemi *et al.*, 2015).

Klymenko *et al.*, (2006) identified *dIno80* as a part of a complex that contains Pho (Pleiohomeotic) in *Drosophila*. The role of *dIno80* in *Drosophila* and its interaction with PcG-TrxG complex is demonstrated by Bhatia *et al.* (2010) and Ghasemi *et al.*, (2015). The interaction of transcription factors Yin Yang 1 (YY1; in mammals) and Pleiohomeotic (Pho; in *D. melanogaster*), with hINO80/*dIno80* is known (Klymenko *et al.*, 2006 and Jin *et al.*, 2005). In addition to Pho/YY1, the INO80 complex contains two AAA+ ATPases (ATPases associated with a variety of cellular activities) referred to as RUVBL1 and 2 (mammals) and Reptin and Pontin

Fig. 7. Mis-expression of homeotic genes in Ino80 null mutants. Stage 15-17 embryos with the genotype +/+ (wild type) and *dIno80*^Δ/*dIno80*^Δ were immunostained with anti-Scr (A), anti-Antp (B), anti-Ubx (C) and anti-Abd-B (D) antibodies in independent experiments. Engrailed (En) is used as control. (Bhatia *et al.*, 2010).

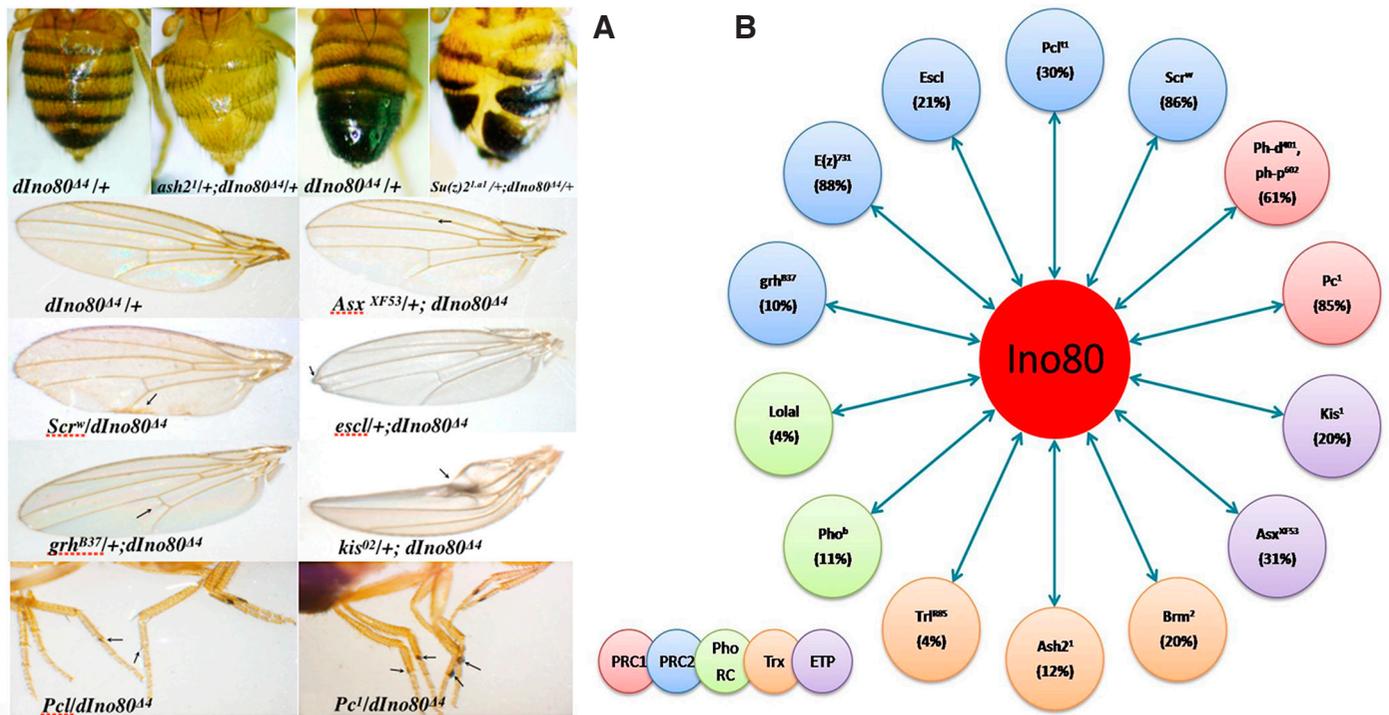


Fig. 8. Ino80 is an enhancer of polycomb and trithorax protein (ETP). Genetic interaction of *dIno80* with polycomb, trithorax and also other ETP proteins is shown. (A) The phenotypes observed in selected cases. (B) All the interactions identified. (Ghasemi et al. 2015)

(*D. melanogaster*). These three factors have well documented roles in embryonic development as members of PcG-TrxG proteins (Brown et al., 1998; Bellosta et al., 2005). Therefore, the canonical complex can also be recruited to regulatory site through YY1/Pho (Cai et al., 2007).

We demonstrated Pho independent function of *dINO80* and also rescue of Pho null mutants which show lethality due to loss of Pho (Ghasemi et al., 2015, unpublished Jain et al., 2019). In another study, Neumen et al., (2014), isolated a point mutation in the ATPase domain and observed pupal lethality, attributed to altered expression of ecdysone induced genes (Neumen et al., 2014). The origin of this difference is not clear. The deletion maps at 12th exon region and there is total absence of *Ino80* transcripts (Unpublished). The INO80 complexes share many subunits with the mammalian BAF and fly BAP complexes like β -actin and several Arps (Actin-related proteins) (Olave et al., 2002; Szerlong et al., 2008). This sharing of subunits implicate a critical actin- or Arp4/8-dependent role shared by INO80 and BAF complexes, possibly in targeting these complexes to structural elements (Gerhold et al., 2012, Nishimoto et al., 2012; Brahma et al., 2018; Knoll et al., 2018). The fact that yeast SWI/SNF complex lacks both Arp4 and actin indicates that the BAP and BAF complexes may have acquired additional functions that are not demonstrated by yeast SWI/SNF.

dIno80 null mutation results in lethality and mis-expression of homeotic genes and this can be a direct or an indirect effect. The direct effect of epigenetic complexes on development can be attributed to their interaction with PRE/TRE sequences in *Drosophila* leading to mis-expression of homeotic genes. Therefore, we have addressed the question whether *dIno80* interacts with PRE/TRE sequences. *dIno80* localizes to *bx*d-PRE as well as *iab-7* PRE and also human PRE-PIK3C2B transgenic flies (Unpublished).

Moonlighting functions of polycomb and trithorax members

The functional diversity of PRC/TRX proteins arise due to their interaction with other proteins. YY1 which is known to recruit repressive complexes, can also interact with activating complexes such as BAF to mediate transcriptional activation (Wang et al., 2018). Similarly, the GAGA factor and its human homologue, Th-Pok, are known to associate with repressive complex, leading to lower expression of target genes (Maini et al., 2017; Srivastava et al., 2018). On the other hand, there are examples of proteins that carryout two different cellular functions, utilizing different functional domains (Jeffery, 1999). There are different mechanisms leading to such functional diversity; utilization of different functional domains, variation in post-translational modification (PTM), differential cellular localization or by association with different protein partners. Among the PcG and TrxG proteins, one example of this is the function of MLL as a trithorax protein and also its function in cell cycle (Fig. 10).

MLL proteins contain multiple domains, including a motif for interaction with unmethylated CpG island and a domain (SET domain) for histone H3K4 methylation. While their role in *Hox* gene regulation is well known, an additional role of MLL1 in the regulation of cell proliferation independent of its SET domain related function is also identified (Yu et al., 1995; Ali et al., 2014). A reduced MLL1 function leads to cell cycle arrest at G1 phase or S phase and defective cytokinesis (Takeda et al., 2006; Liu et al., 2010 and Ali et al., 2014). Depletion of the components of WARD complex also show similar defects though at varying levels. The proteolytic cleavage of MLL generates N-terminal (MLL-N) and C-terminal (MLL-C) fragments which together form

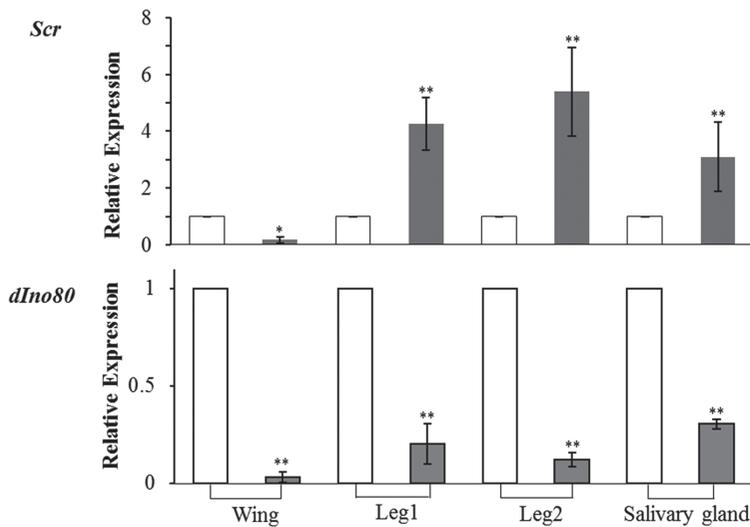


Fig. 9. Effect of dIno80 on Sex comb reduced (Scr) expression in imaginal disc. Both activating (wing disc) and repressive (Leg discs and salivary gland) effects of *Ino80* were observed. (Ghasemi et al., 2015)

a stable complex, localizing in the nucleus, and stabilizing the N-terminal which otherwise is degraded (Hsieh et al., 2002). The trans-activation domain of proteolytically cleaved MLL-C subunit is required for progression through S phase whereas the WDR5 interacting motif (Win) is required for the proper mitotic progression. MLL-N subunit was found to be irrelevant to these functions of MLL1 (Ali et al., 2014). The cell cycle regulatory roles of MLL protein is totally independent of its SET domain related methyltransferase activity suggestive of a moonlighting function of MLL1 (Ali et al., 2014).

As mentioned earlier, members of INO80 subfamily contain a highly conserved DBINO domain, with potential DNA binding

activity (Bakshi et al., 2004, 2006). This domain binds to a consensus sequence, thus having a DNA binding function and can act as recruiter of epigenetic regulatory complexes, a moonlighting function of INO80 and dIno80 (Mendiratta et al., 2016 and Jain et al., 2018).

The preferred motif for interaction of hIno80 with DNA is identified as 5'[CA][CA][CA][CG]GTCA[GC]CC3' sequence. We show that the localization of hINO80 in the upstream region is dependent on the presence of the DBINO binding DNA motif (Mendiratta et al., 2016). Through this motif hIno80 leads to the down regulation of the reporter sequence (Mendiratta et al., 2016). Similarly, the fly Ino80 recognizes the motif detected in human genome, but the affinity of dIno80 is higher for specific variants of the human consensus sequence. Unlike hINO80, dIno80 acts as a positive regulator of the reporter gene (Jain et al., 2019). The Kd for the variant sequence is in the range of 500 to 300nM, which is considered as specificity indicator in other studies (Jain et al., 2019). With the recent evidence of the interaction of hINO80 with Ezh2 (Runge et al., 2018) and the proposition of non-canonical complex of INO80, it is possible that INO80 associates with different partners, forming a non-canonical complex that can interact with

DNA (Fig. 11). This can be considered as a moonlighting function of INO80 (Fig. 12).

Recruitment of epigenetic regulators to genomic sites: cis-elements in development

Agene switch is a product of the interaction between trans-factors and the cis-elements. The recruitment of regulatory complexes to selected site on the genome, through defined DNA sequences, is critical to bring about specificity of activation and repression. In spite of the role of cis-elements being as important as the trans-acting factors, the attention on the discovery and functional characterization of cis-elements is limited in comparison to the trans-factors.

The cis-elements, with their unique binding affinities and interaction with different transcription factors and the nucleosomes, can define transcriptional behavior (Wittkopp and Kalay, 2011). The divergence of cis-regulatory sequence and hence, the activity may be correlated to the phenotypic evolution (Segal and Widom, 2009). Identification of the molecular mechanism that lead to the evolutionary gain of male-specific wing pigmentation spot in *Drosophila biarmipes* is an example of change in

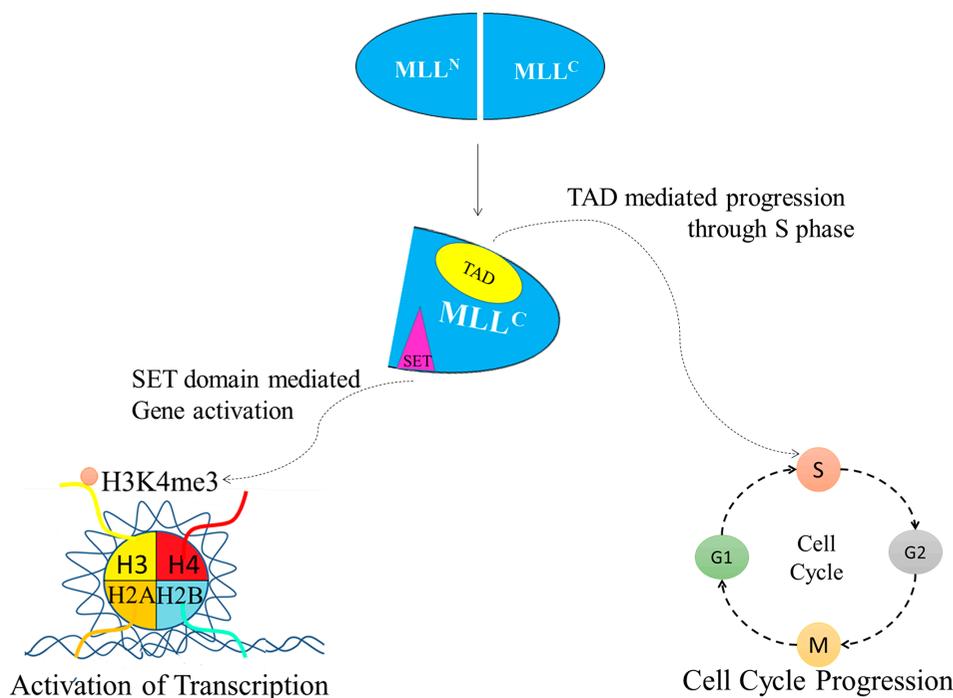


Fig. 10. Moonlighting function of mixed lineage leukemia (MLL) protein. MLL protein is cleaved into the N-terminal and C-terminal portions by Taspase 1 (a threonine aspartate). The C-terminal portion contains the SET domain that catalyzes the H3K4me3 and the Trans-Activation Domain (TAD) that plays a key role in cell cycle regulation.

cis-regulatory elements leading to a sex specific phenotype during development (Gompel *et al.*, 2005). The evolution of this spot is traced to the changes in the ancestral *cis*-regulatory element of the yellow pigmentation genes. Over the course of time this element gained multiple binding sites for transcription factors that are involved in wing development.

The *cis*-elements in *Drosophila*, are well studied in the context of Hox gene regulation. The mechanism of regulation involves the participation of a number of regulatory elements including, enhancers, insulators and Polycomb/Trithorax Responsive Elements (P/TRE) (Peifer *et al.*, 1987; Akbari *et al.*, 2006). The nomenclature suggesting that both the repressive (Polycomb) and activating (Trithorax) complexes can be recruited by these sequences (Chang *et al.*, 1995; Orlando *et al.*, 1998). This strategy of setting up a competition between two antagonistic outcomes through an overlapping/same *cis*-element(s) is an early invention in nature, as seen in phage lambda, in the choice between lytic and lysogenic pathways (Uetake *et al.*, 1958; Echols *et al.*, 1972).

In *Drosophila melanogaster*, a combination of maternal RNA and proteins activate a cascade of developmental genes leading to the establishment of segmentation pattern (Dworkin and Dworkin-Rastl, 1990). The antero-posterior axis formation is brought about by *Hox* (homeotic) genes. The *Drosophila Hox* gene cluster comprises of two sub-clusters namely the antennapedia complex and the bithorax complex. The establishment of the segmental identity is under the control of a few genes, while a large number of regulatory elements within the Hox clusters are responsible for specific domain of expression and also the stage specific expression (Peifer *et al.*, 1987; Akbari *et al.*, 2006). The *cis*-regulatory *infraabdominal* regions (*iab-2* to *iab-8*) control the expression of *abdominal-A* and *Abdominal-B* in parasegments (Sánchez-Herrero and Akam, 1989; Karch *et al.*, 1990; Macías *et al.*, 1990; Celniker *et al.*, 1990; Gyurkovics *et al.*, 1990; Boulet *et al.*, 1991; Sánchez-Herrero, 1991; Crosby *et al.*, 1993).

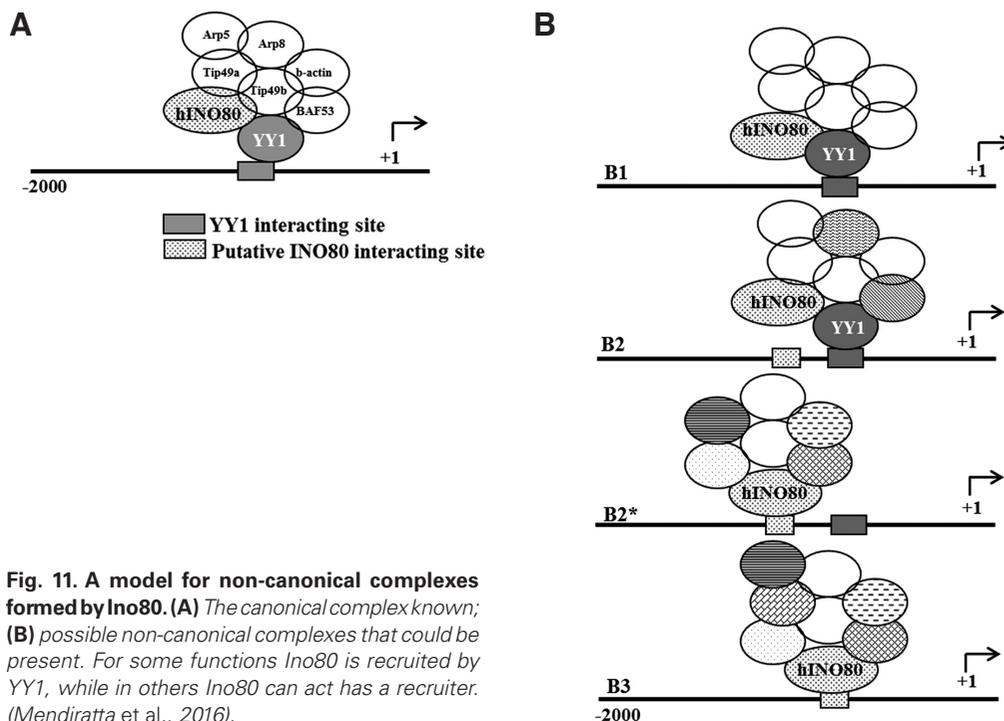


Fig. 11. A model for non-canonical complexes formed by Ino80. (A) The canonical complex known; (B) possible non-canonical complexes that could be present. For some functions Ino80 is recruited by YY1, while in others Ino80 can act as a recruiter. (Mendiratta *et al.*, 2016).

In *Drosophila*, the bithorax complex comprise of boundary elements (BE) that are closely associated with PREs and this association plays an important role in restricting ectopic expression (Singh and Mishra, 2015). The *Mcp*, *Fab-7* and *Fab-8* are examples of such associations. Deletions of these elements in *Mcp* as well as *Fab-7*, leads to ectopic expression of *Abd-B* resulting in dorsal closure defect of the abdominal epithelia (DDA) (Singh and Mishra, 2015). The BE and PRE in the *Mcp* and *Fab-7* physically interact with each other as shown by the Chromosome Conformation Capture (3C) technique. Further, BE-PRE mediated repression is brought about by Polycomb Repressive Complex 1 (Singh and Mishra, 2015).

Canonical polycomb silencing pathway: molecular mechanism

The Polycomb complexes are known to interact with specific DNA sequences (Polycomb Response Elements - PREs) with the aid of transcription factors. The canonical pathway involves the recruitment of PRC2 complex at the PRE. The EZH2 protein in the PRC2 complex contains a SET domain that methylates H3K27 (H3K27me3). The PRC1 identifies the H3K27me3 and brings about H2AK119 monoubiquitylation (Cao *et al.*, 2002; Wang *et al.*, 2004; Buchwald *et al.*, 2006). Later, it was shown that in mouse embryonic stem cells, PRC1 mediated monoubiquitylation of H2AK119, promotes the recruitment of PRC2 complex that brings about H3K27me3 deposition (Cooper *et al.* 2014). The PRC2 recruitment, in this case, is brought about by PRC1 variant and not the canonical PRC1 complex (Cooper *et al.*, 2014). This illustrates the versatile nature of the complexes and the signals for their recruitment.

One of the pioneering studies to dissect the mechanism of epigenetic inheritance was performed using *Drosophila* as a model organism. Cavalli and Paro (1999) showed that Polycomb proteins as well as the Trithorax protein GAGA factor co-localize at the *Fab-7* element of the bithorax complex. Further, using transgenic lines, with *Fab-7* present upstream of the GAL4-driven lacZ reporter and *mini-white* gene, they demonstrated that *Fab-7* element is sufficient to induce silencing of the reporter. However, a short pulse of GAL4 was sufficient to release the transgene from the PcG dependent silencing. The activated state was mitotically inherited and transmitted through a number of generations via meiosis. Thus, they established *Fab-7* as a switch element. The hyperacetylation of histone H4 in the *Fab-7* region persisted after activation, suggesting that histone hyperacetylation is maintained through mitosis and might be a heritable epigenetic tag of the activated element (Cavalli and Paro, 1999). They further established that activated *Fab-7* drives the transcription of the gene even after the removal of the primary signal for transcription activation, in this

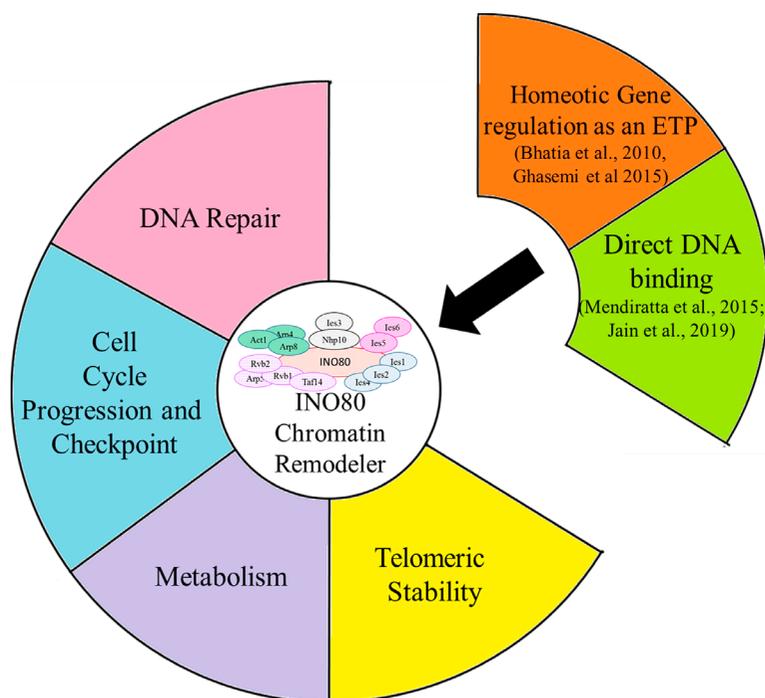


Fig. 12. Diversity in function of Ino80. The Ino80 protein is known to control/play a key role in major molecular processes such as cell cycle progression, DNA repair, telomere stability and respiration. As discussed in this review *INO80/dIno80* regulates homeotic gene regulation as an Enhancer of *Trithorax* and Polycomb (ETP) group member. *INO80* contains a DNA binding domain (DBINO) and regulates the transcription of reporter gene.

case, the Gal4. These *cis*-elements were recognized as PRE/TRE.

There are a number of reports of PREs/TREs in the *Drosophila* genome but only few are known in vertebrates. The best characterized PREs were identified in the *bithorax* complex (Simon *et al.*, 1993) in *Drosophila*. In another study involving *Drosophila*, PREs/TREs were distinguished from non-PRE sequences by analyzing pairwise combinations of seven motifs (Ringrose *et al.*, 2003). Using this algorithm, 167 candidate PRE/TREs were identified in the BX-C (Bithorax Complex) and the ANT-C (Antennapedia Complex) (Ringrose *et al.*, 2003). The majority of the PRE/TREs identified, mapped to genes involved in developmental processes. The candidate PREs were further validated for their interaction with PcG proteins *in vivo* and regulation of *miniwhite* expression in transgenic flies (Ringrose *et al.*, 2003). The motifs significantly enriched in the candidate PREs include those for GAGA and Pho along with GTGT, PolyT and TGC triplets (Ringrose *et al.*, 2003). Using a similar approach, a prediction tool was designed which utilizes the identification of clusters of individual motifs as well as multi-motif arrangements. The “jPREdictor” software assigns weightage to the occurrences of the motifs. The weightage or scores are derived from positive (sequence of known PREs) and negative training sets (non-PRE sequences; Fiedler and Rehmsmeier, 2006).

One of the few PRE/TREs known in vertebrates is PRE-Kr which regulates the expression of mouse *Mafb/Kreisler* gene. Kr inversion brings about anteriorization of *Mafb* expression by translocating a PRE from one rhombomere-specific gene to another gene thus, highlighting the role of PREs in long-range regulation of transcription (Sing *et al.*, 2009). A study involving the analysis of chromatin

architecture in the human embryonic stem cells reported the discovery of 1.8kb region between HOXD11 and HOXD12 (D11.12) on chromosome 2, where PcG protein localizes. The repression of luciferase expression by the D11.12 element was dependent on BMI, EED and RYBP thus, establishing the D11.12 element as a PRE (Woo *et al.*, 2010). Another PRE on chromosome 4 was identified in association with the FSHD (Facioscapulohumeral Muscular dystrophy) locus. The reduction in copy number of D4Z4 repeats is associated with the disease and acts as an epigenetic switch leading to de-repression of genes at the 4q35 region (Cabianca *et al.*, 2012). D4Z4 responds to Polycomb complex and in healthy individuals, it acts as a PRE. In the FSHD patients, deletion of D4Z4 repeats causes activation of the genes including transcription of a long non-coding RNA, DBE-T that recruits Trithorax group protein, Ash1L to this locus (Cabianca *et al.*, 2012).

Mouse HoxD PRE interacts with heterochromatin factors

A unique PRE was identified in the HoxD region based on its response to Polycomb protein by Vasanthi *et al.*, (2013). It not only interacts with PcG/TrxG members but also with the heterochromatin proteins, Su(var)3-9, and Su(var)2-10. Based on genetic interaction and cell culture assays, a 2 kb region interacting with PcG and Su(var) proteins was identified. The studies in transgenic flies in the background of *Su(var)* mutations demonstrated the role of mouse HoxD PRE in heterochromatin-mediated repression. Further, TRL-GAF, a TrxG member and PC proteins were

shown to bind to different regions within the HoxD PRE (Vasanthi *et al.*, 2013). The involvement of heterochromatin and PcG proteins in a switch between repression and activation at HoxD PRE region indicates a cross-talk between two distinct mechanisms to bring about transcription regulation; heterochromatin and PcG/TRL mediated repression/activation. Thus, it can be speculated that PREs may play a role in heterochromatin formation.

Mining PRE/TRE from the human genome

There are efforts to identify novel PRE/TRE in *Drosophila* and the human genome based on the conservation of the maintenance machinery. Since, the transcription factors (YY1 homologue of *Pho*) involved in the recruitment of PcG complexes are conserved across phyla, mapping the binding sites for the recruiters and their density of occurrence at a genomic loci is taken as predictor of potential PRE. This can be a genome-wide search or can be carried out on a selected genomic region. The availability of ENCODE ChIP-Seq data for histone modifications and transcription factors including PcG proteins (SUZ12, YY1, EZH2), has facilitated the identification of potential PRE/TREs in the human genome.

Identification and characterization of human PRE-PIK3C2B

hPRE-PIK3C2B was identified by mapping the binding site(s) for the transcription factors, that are known to recruit Polycomb complexes (YY1), on a set of genes that show altered expression

in patients with ALL [t(4:11)], where ALL/MLL is a translocation partner. ALL/MLL/HRX gene (mapping of human chromosome 11q23), contains a domain similar to the trithorax gene, hence we focused on its putative target genes. The list of mis-expressed genes was retrieved from the microarray data of Armstrong *et al.*, (2002). Following this, the regions upstream and downstream of the gene as well as the gene body of the selected gene set were analysed for YY1 binding sites. Depending on the density of YY1 motif sequences, the regions were selected for characterization. Out the top ten genes, *PIK3C2B* was selected, as it had a high density of YY1 and GAGA factor binding motifs in the first intron. This region was unique since it was repetitive in nature with 25mer sequence repeated 25 times (Bengani *et al.*, 2013). Each 25mer unit had a YY1 binding motif (GCCAT) and a GAGA factor binding motif (GAGAG). It was of interest to note that we selected the same gene region using the PRE-dictor program that was trained on *Drosophila* data (Ringrose *et al.*, 2003). The conservation was further borne out by the interaction of the PcG and TrxG proteins with *hPRE-PIK3C2B* in transgenic flies (Bengani *et al.*, 2013).

In *Drosophila*, the control of reporter gene expression by *Ubx* PRE/TRE is dependent on the competitive interactions of PC and TRX (Chang *et al.*, 1995). In transgenic flies carrying a known PRE (the FAB fragment) adjacent to a GAL4 inducible reporter gene, competition between PcG-enabled repression and the GAL4-induced activation is observed (Zink and Paro, 1995; Cavalli and Paro, 1998). The repressive landscape inhibits the interaction with activators but increased level of GAL4 trans-activator displaces PC from the ectopic binding sites (Zink and Paro, 1995).

The changes in the D4Z4 repeats associated with the FSHD locus in humans is another example of the transition between activation and repression due to altered *cis*-regulatory element (PRE to TRE-like function) that leads to FSHD (Cabanca *et al.*, 2012).

The regulatory effect of *hPRE-PIK3C2B* on the reporter as well as endogenous *PIK3C2B* gene is dependent on the PRC2 complex members YY1 and SUZ12. The number of repeating motifs for YY1, has an effect on the level of repression of GFP reporter (Bengani *et al.*, 2013). Correlating with the regulatory activity, there is YY1-dependent enrichment of PRC2 members (SUZ12, EZH2 and EED) and also H3K27me3 on *hPRE-PIK3C2B* (Bengani *et al.*, 2013). One of the characteristics of *PRE/TREs* in *Drosophila* is PSS (Pairing Sensitive Silencing), which results when PREs are in homozygous condition (Kassis, 1994). To the best of our knowledge, *hPRE-PIK3C2B* is the only mammalian PRE that shows PSS.

The PRE-TRE sequences in *Drosophila* overlap with each other, bringing in a competition between the PcG and TrxG complexes for binding and thus, tilting the balance between either positive and the negative regulation of the target genes (Chang *et al.*, 1995; Zink and Paro, 1995). The PREs in *Drosophila* are also associated with Trx-dependent H3K4me2, thereby maintaining the expression of nearby developmental genes. Furthermore, the H3K4 dimethylation activity is conserved in MLL, the mammalian homologue of the Trx. MLL-mediated H3K4me2 mark is also associated with the CpG islands which can act as PREs. It was demonstrated that in the absence of MLL and H3K4me2, H3K27me3 increases at the CpG islands. In the absence of MLL, gene expression could be rescued by inhibiting PRC2-mediated H3K27me3 methylation (Rickels *et al.*, 2016). Thus, there seems to be a balance between the MLL-mediated activation and PRC2-

mediated repression.

Genetic interaction experiments using *Drosophila* transgenic lines show that *hPRE-PIK3C2B* interacts not only with PcG members but also with TrxG members such as *zeste* and *brm* (*Drosophila* homologue of SMARCA2), an ATP-dependent chromatin remodeler, required for activation of homeotic genes (Maini *et al.*, 2017, Tamkun *et al.*, 1992). Maini *et al.* (2017), identified Trithorax group members such as MLL, MLL4, TH-POK and SET1 as proteins interacting with *hPRE-PIK3C2B* based on affinity purification and mass spectrophotometry followed by validation using ChIP experiments. The tipping of the balance between activating and the repressive complex interaction is related to the abundance of the respective protein, as shown by knock-down experiments; YY1 knockdown in cells or *Pho* mutation in the fly enhances the localization of MLL/Trx at *hPRE-PIK3C2B* both in HEK cells and the transgenic fly which in turn leads to an increase in miniwhite expression (Maini *et al.*, 2017).

Minor and major polycomb response elements (PREs)

The ChIP-seq data show that there are a number of strong peaks of PcG-protein enrichment in the *Drosophila* genome which are characterized as PREs (De *et al.*, 2016). Apart from the strong peaks, a number of weak peaks have been identified. The deletion of strong PREs associated with the *invected-engrailed* (*inv-en*) loci, does not alter the H3K27me3 domain, thereby maintaining the *inv-en* expression. Further, deletion of strong PREs does not affect the weak *Pho* and *Ph* peaks. More importantly, the deletion of strong PREs does not affect the 3D chromatin architecture of the PcG domain, which indicates that they have no role in maintaining the PcG domain (De *et al.*, 2016). In contrast, the numerous weak *Pho* and *Ph* peaks are important for maintaining the repressive landscape. These observations show that, there can be another level of developmental gene regulation, that relates to affinity differences as a parameter which justifies the tipping of the balance between activation and repression.

CpG islands, histone marks and polycomb responsiveness

CpG islands are associated with the establishment of bivalent chromatin domains. There is a strong correlation between CpG islands and H3K4me3 mark. Genes without CpG islands are generally devoid of H3K27me3 or H3K4me3 mark. The genes with CpG islands are likely to carry bivalent histone marks and that the histone marks span the CpG islands precisely (Orlando *et al.*, 2012). The GC-rich sequences are known to recruit Polycomb complexes (Mendenhall *et al.*, 2010). The methylation status of the GC-rich sequences play an important role in Polycomb recruitment (Lynch *et al.*, 2012).

Role of long non-coding and short RNA in recruitment of polycomb complexes

The role of the XIST, a long non-coding RNA, in X chromosome inactivation is well known. However, there are only a few examples of long non-coding RNAs participating in other developmental processes. HOTAIR, an anti-sense long non-coding RNA transcribed from *HOXC* gene cluster, enhances PRC2 mediated epigenetic

silencing by recruiting PRC2 to the target loci (Rinn *et al.*, 2007). CBX7, a member of the PRC1 complex along with a non-coding RNA ANRIL is upregulated in prostate cancer. The chromodomain of the CBX7 protein interacts with both the H3K27me3 as well as ANRIL RNA, and this interaction is required for repression at the INK4b/ARF/INK4a locus. Interfering with either of the interactions leads to growth arrest in *Drosophila* (Kotake *et al.*, 2011).

A study using X-inactivation as a model demonstrated that SUZ12 along with JARID2 and EZH2 binds to *cis*-acting RNAs (Tsix, HOTAIR and RepA); EZH2 shows the highest affinity and EED inhibit this interaction, while SUZ12 shows moderate affinity. The binding of RNA to EZH2 inhibits its methyltransferase activity. JARID2 plays a major role in disrupting this interaction thereby rescuing the methyltransferase activity (Cifuentes-Roja *et al.*, 2014). The implied reversibility between inactive and active state is perhaps important in reactivation of inactive X chromosome during gametogenesis. The short RNAs transcribed from the 5' end of the Polycomb target genes form stem-loop structure promoting the recruitment of PRC2. During differentiation, these short RNAs are lost leading to activation (Kanhare *et al.*, 2010).

In another example, PRC2 interacts with nascent RNA at all the active genes. PRC2 binds to regions spanning exon-intron boundaries and 3'UTR as shown by RNA cross-linking profiles. Furthermore, it was established that SUZ12 interacts with RNA and can bind to it even in the absence of other PRC2 members. PRC2 binding to the chromatin is mutually exclusive to its association to nascent RNA. The degradation of RNA increases the binding of PRC2 to the chromatin thus, it appears that the chromatin and RNA compete against each other to interact with PRC2 complex (Beltran *et al.*, 2016).

Polycomb response elements and chromatin organization

The transcription repression is brought about by PRC1 by compaction of defined nucleosomal arrays (one PRC1 complex can compact 3 nucleosomes) (Francis *et al.*, 2004). PRC1 is present in both diffused as well as in localized state and the localized sites appear as intensely fluorescent foci. Using the human U-2OS cells expressing BMI1-GFP protein, the PcG bodies have been identified as nuclear domains enriched with separate heterochromatin regions (Smigova *et al.*, 2011). Recently, the *Drosophila* genome was classified into three major domains, the active, inactive and the Polycomb-repressed domain which vary in nucleosome packing and the polycomb-repressed domains show the highest nucleosome density. Polycomb-repressed domains tend to be more compact and are enriched in developmental genes (Wani *et al.*, 2016). Polycomb Response Elements (PREs) as well as insulator elements are implicated in long-range chromatin interactions in *Drosophila* (Sigrist *et al.*, 1997; Li *et al.*, 2011). Thus, PREs bring about chromatin compaction and also play an important role in maintaining 3-dimensional chromatin organization.

Distinguishing polycomb response elements

A recent study by Du *et al.*, (2018), identified three classes of response elements in the human genome namely the PREs, TREs and P/TREs on the basis of their distance from TSS, GC content, co-localization with CpG islands, endogenous gene targets and enrichment of transcription factors. A majority of the PREs are at a distance of 6-142 Kb from TSS whereas P/TREs are within 3-19Kb

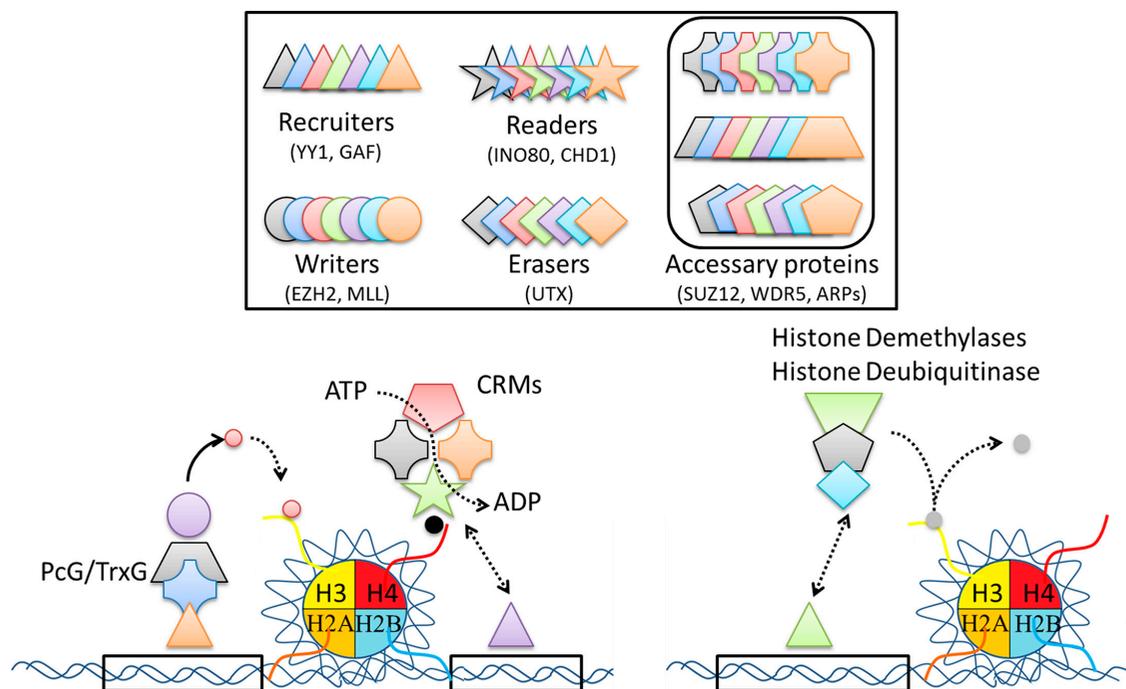


Fig. 13. A model for changing composition and function of epigenetic regulatory complexes; LEGO set model. The partners in these complexes are distinguished as Recruiters, Writers, Readers and Erasers, and Accessory proteins that enhance the reaction they catalyze. It is conceived that a relatively limited number of these non-redundant proteins of each functional class can interact to generate a large variety of unique complexes as in a LEGO set. These can target multiple genes or the same gene at temporally different stage and in different progenitor cells to maintain them in active or repressed state.

of the TSS (Du *et al.*, 2018). The TREs on the other hand, often overlap with the TSS. Furthermore, PREs often show higher GC content in comparison to TREs and often overlap with the CpG islands unlike PREs and P/TREs. The PREs and P/TREs analyzed by Du *et al.*, (2018) targeted long non-coding RNA genes whereas the TREs targeted housekeeping genes. Interestingly YY1 was found to be enriched at all the TREs but showed very low enrichment at the PREs. The literature so far reflect that the sites of interaction of epigenetic regulatory complexes are varied and there is scope for their localization at many positions along the genome.

Epilogue

The mechanism of regulation of developmental gene expression through epigenetic modifications is an example of the selection of a strategy to generate unique expression profiles in a sub-set of cells during development to bring about a distinct tissue/organ development. In a simplified view, a common set of tools, that include DNA motif recognizing proteins (recruiters), binding/interacting surfaces (ARPs), epigenetic writers (histone methyltransferases, acetylases.), readers (chromatin remodeling proteins, PRC1 members), erasers (demethylases, deacetylases) form complexes which not only regulate transcription, but also result in retaining the transcriptional memory through mitosis. The major advantage of the transcriptional memory mechanism through epigenetic modification of DNA and the histones is the interaction of a limited number of protein factors (with different biochemical functions) in complexes to generate not only distinct functional units/complexes, but also achieve unique regulatory outcomes. This makes it possible to have a limited number of protein-coding genes in spite of the increasing complexity of biological systems in the evolutionary time-line. Thus, it is comparable to a LEGO set, which can generate combinatorial assemblies to form unique complexes that can target specific genomic regions (Fig. 13). Added to this is the recent detection of moonlighting activity of some of the members of the PRC and TRX complexes that are reported (Ali *et al.*, 2014).

Yet another step of resource-management that is being deciphered more recently is the long-range interaction of chromatin regions bringing genes with similar expression profiles together within a definable domain creating a hub. Such long-range interactions are known for many *cis*-elements (Sipos and Gyurkovics, 2005; Maksimenko and Georgiev, 2014) and we have detected both intra- and inter- chromosomal interactions of *hPRE-PIK3C2B* through Circular Chromosome Conformation Capture (4C) analysis (unpublished results).

There are several new players such as TADs (Topologically Associated Domains), the CpG island as sites of PcG interaction and the cluster of enhancers (Super-enhancers) contributing to developmental regulation. The alteration of the active/repressive hubs is a part of the developmental programming. The mechanisms of bringing about such alterations remain to be deciphered.

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